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Energy Certification of existing buildings:
experimental analysis and physical-mathematical modeling

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To Mattia and Giuseppe, with love

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Abstract

The solar gains through windows usually provide an important contribution to the summer cooling load of a building.

The current definition of the gain/loss utilization factor for the evaluation of the summer thermal load derives from a curve fitting procedure based only on experimental results and not on the building thermo-physical and geometrical properties, so it often proves to be not well suited.

On the basis of the Admittance method, as the reference mathematical model for the building energy assessment (implemented into a routine developed in the Mathcad environment), the present work is intended to give a theoretical contribution for the definition of a solar gain response factor (F) as a function of the intrinsic building features for the evaluation of the radiant thermal fluxes released to the internal space, through the following steps:

- set up of a reliable mathematical model for the treatment of solar gain, and comparison with Energy Plus as far as the building thermal response is concerned;*
- definition of a correlation for the solar gain response factor F , as a single value transfer function able to link the input (the driving force) to the output (the thermal load);*
- classification of the Italian building stock typologies through the solar gain response factor F .*

Introduction

The European norm 2002/91/CE (EPBD) pushes members States to provide legislatives tools aimed to promote “the improvement of the energy efficiency of the buildings of the European Community”. In Italy this Directive is introduced by the D. lgs. 192/05, now replaced by the D. lgs. 311/06, which imposes the diagnosis of the energy performance of new and existing buildings, through the definition of a reference parameter, such as the specific consumption of primary energy for heating the building, expressed in kWh/m² per year, to be reported in the building energy certification document.

Despite in many places of Italy, the summer thermal load is often the most serious and binding, summer air-conditioning isn't considered at all in the prescriptive parameters of reference for the energy performance of buildings.

Besides, the scientific community debates on the mathematical-analytical formulation of the gain utilization factor for cooling, which plays a critical role in the evaluation of the thermal load in summer conditions.

On the basis of the Admittance method as the reference mathematical model for the building energy assessment, this research project intends to give a theoretical contribution for the definition of a solar gain response factor, as a function of the building geometry and its thermo-physical properties.

1. Legislative panorama

In a world affected by an increasing uncertainty on the energy scenery, energy savings becomes an essential objective in the politics of national governments both from the point of view of the resources provisioning and of the energy consumption.

Particularly, in the UE, the civil sector represents 40% of whole energy consumption.

The Green Paper "Towards a European strategy for the security of energy supply", published in the year 2000, values that it's possible to achieve a 22% energy savings in the building sector within 2010, adopting suitable measures with acceptable return times.

In Italy, a series of norms express the need to contain the energy consumption in the civil and tertiary sector, in agreement with the European directives.

1.1 Law January 9th 1991, n. 10

"Norms for the realization of the national energy Plan aimed at the rational use of energy, energy savings and energy renewable sources development".

This Law defines general principles about energy and environment and expresses the main objectives, such as the improvement of the energy transformation processes, the reduction of the energy consumption and the improvement of environmental compatibility of energy uses, the rational use of energy and the use of renewable energy sources.

The content of II title "Norms for the containment of the energy consumption in buildings" fixes the application field, that is new and existing,

public and private buildings; in particular, it establishes that: new buildings energy performance has to be maximized, in all phases of its technical life span.

In the art. 30 the building energy certification is defined as the incontestable proof of the energy quality of the building to the consumer, both buyer or leaseholder.

Despite all these important novelties, the energy certification was not implemented before the European Directive EPBD was issued.

1.2 Directive 93/76/CEE, September 13th 1993

“To limit carbon dioxide issues improving the energy efficiency (SAVE)”.

The Directive shows how the high energy consumption in the UE has determined huge quantities of carbon dioxide, as refusal product.

It underlines the energy problem on the point of view of the environmental impact, with particular attention for the residential and tertiary sectors: the purpose is the reduction of carbon dioxide through the improvement of energy efficiency, and this can be made possible through the elaboration, the realization, the communication of suitable national programs (legislative and regulation dispositions, economic and administrative tools, the information, the education, etc.).

The application fields of the previous programs are: the energy certification of the buildings, which gives some information on the building energy efficiency to the potential consumers through the calculation of specific energy parameters; the billing of heating/cooling/domestic hot water costs of buildings on the basis of the real consumption of each consumer; the thermal insulation of the new buildings, “considering climatic conditions and the use of the building”; the periodic control of the boilers, “with the purpose to improve their operating conditions in order to limit energy consumption and carbon dioxide emissions”.

This Directive also introduces the concept of the energy savings in summer air-conditioning and in artificial lighting (not mentioned in the Law 10/91), but it doesn't provide any specific indication.

Finally it furnishes only the purposes, the application field and the times of realization (that was fixed within the year 2000), entrusting to every member State tasks, leadership and responsibility.

1.3 Directive 2002/91/CE of the European Parliament, December 16th 2002

"Energy efficiency in the house-building (EPBD)".

The Directive press members States to provide the Normative and Legislative tools aimed at promoting "the improvement of the energy efficiency of the buildings of the European Community", in accordance with the national specific environmental and climatic conditions, and the preexisting norms.

To such intention it traces four principal action lines:

- the implementation of a common calculation method for building energy efficiency, based on an integrated approach applied to both the building envelope and the installed systems for winter-summer air-conditioning, ventilation, lighting; the incentive to the use of renewable energy sources;
- the respect for energy efficiency lower limits for new/existing buildings;
- the inspection of the boilers and the heating and cooling systems;
- the introduction of an energy certification system, which allows the evaluation of the buildings energy performance and the possible improvement interventions: the energy certification is finalized to reflect the energy quality of a building into its commercial value and to encourage the investments for energy savings.

1.4 Legislative Decree 19/08/2005 n. 192, Legislative Decree 29/12/2006 n. 311 and DPR 25/06/2009 n.59

The legislative Decree 19/08/2005 n. 192, *“Realization of the Directive 2002/91/CE related to the energy efficiency in the house-building”*, has been replaced by the 29/12/2006 legislative Decree, whose title is: *“Corrective and integrative dispositions to the Legislative Decree 19 August 2005, n. 192, as realization of the directive 2002/91/CE, related to the energy efficiency in the house-building”*.

This Decree wants to establish “criteria, conditions and methods to improve the building energy performance, to promote the development, the exploitation and the integration of renewable sources and energy diversification, to contribute to realize the national duties derived from Kyoto Protocol, to promote the competitiveness of the most advanced compartments through technological development”.

Application fields include:

- The “planning and realization of new buildings and installed systems, of new installed systems in existing buildings, and the restructuring of buildings and existing systems”;
- the control, maintenance and inspection of the thermal systems of buildings;
- the energy certification of buildings, i.e. the document that describes the building energy performance through the calculation of specific energy parameters.

The energy performance is determined through the “quantity of annual energy consumed or necessary to satisfy the different needs related to a building standard use, such as winter and summer air conditioning, preparation of domestic hot water, ventilation and lighting”, while the reference parameter for a possible classification of the building, or for a comparison between different buildings, is the energy performance index.

To such purposes, the Decree provides the following instruments:

- the methodology for the calculation of the integrated energy performance of the buildings, in accordance with UNI and EN technical rules;

- the application of least requirements regarding the building energy performance: appendix C presents some threshold values for the energy performance index (in kWh/m²/year) for heating, for the thermal transmittance of opaque and transparent building components, for the seasonal mean global efficiency of the thermal systems.

- the general criteria for the energy certification of buildings: the appendix E provides the list of the technical documents to be produced for the same certification;

- the promotion of energy rational use through the information and the user awareness, the formation and the updating of the operators (art.1);

As regards the summer performance of buildings, the only reference regards the check that the surface mass for all kind of walls (vertical, horizontal, tilted) has to be more than 230 kg/m², for all climatic zones, except for the F one (in which the mean monthly value of solar irradiance on the horizontal surface is equal or more than 290 W/m²), or the use of alternative structures, which assure the same positive effects on thermal comfort.

This means that the dynamic characteristics (decrement factor and time shift) of the alternative solutions must be better than those for structures which respect the surface mass threshold value.

The method for the calculation of the dynamic thermal characteristics is reported in the UNI EN ISO 13786:2001.

The recent DPR 59/09 introduces threshold values for the dynamic characteristics for the use of the alternative solutions as mentioned above.

It also focuses on the building summer performance, but since the relative technical rule for the calculation of the need of primary energy for summer conditioning was not yet available when the DPR was issued, it establishes threshold values for the envelope performance, depending on the climatic zone and recommends the use of solar shadings, the thermal inertia of the opaque

envelope and the natural ventilation as instruments to contain summer over-heating.

1.5 Legislative Decree 26/06/2009 and Technical rules UNI TS 11300

In the Legislative Decree 26/06/2009, which contains the drive-lines for energy certification of buildings, the total energy performance of a building is expressed by a global energy performance index, called EP_{gl} (in kWh/m²year, for residential buildings):

$$EP_{gl} = EP_i + EP_{acs} + EP_e + EP_{ill} \quad 1.1$$

where:

EP_i is the energy performance index for winter conditioning

EP_{acs} is the energy performance index for the domestic hot water production

EP_e is the energy performance index for summer conditioning

EP_{ill} is the energy performance index for artificial lighting

While the EP_i and EP_{acs} indexes are related to the energy certification, for summer conditioning is provided only a qualitative evaluation of the envelope characteristics to contain the summer energy need.

All these indexes must be calculated applying the technical rules UNI/TS 11300, in particular:

— UNI/TS 11300-1: Energy performance of buildings - Part 1: Evaluation of energy needs for space heating and cooling; it defines the calculation method of the envelope energy performance for heating and cooling.

— UNI/TS 11300-2: Energy performance of buildings - Part 2: Evaluation of primary energy needs and system efficiencies for space heating and domestic hot water production; it allows to calculate the building performance for the specific installed heating system, starting from the known envelope performance.

These rules allow to calculate the energy needs for heating and domestic hot water production, not yet the energy need for cooling.

In order to make a qualitative evaluation of building performance in the summer conditions, the Decree presents two possible methods:

a) *Calculation of the building thermal performance for cooling* ($EP_{e,invol}$): This index is given by the ratio between the need of thermal energy for cooling (energy required by the envelope to keep indoor comfort conditions, it is not primary energy because the system efficiency is not included) and the surface of the conditioned volume. For the classification of the envelope quality five classes are considered (Table 1. 1):

$EP_{e,invol}$ (kWh/m ² year)	Performance	Quality class
$EP_{e,invol} < 10$	Very good	I
$10 \leq EP_{e,invol} < 20$	Good	II
$20 \leq EP_{e,invol} < 30$	Medium	III
$30 \leq EP_{e,invol} < 40$	Sufficient	IV
$EP_{e,invol} \geq 40$	Poor	V

Table 1. 1 - Classification of the envelope quality for summer according to the I method

b) *Calculation of quality parameters: the decrement factor f_a (non-dimensional) and time shift τ (h)*, calculated according to the UNI EN ISO 13786.

The decrement factor is given by the ratio between the dynamic thermal transmittance and the steady-state thermal transmittance;

The time shift is the time occurring between the highest outdoor temperature and the peak of the thermal flux getting into the room.

The classification of the envelope quality is done according to the following Table 1. 2:

Decrement factor	Time shift (h)	Performance	Quality class
$f_a < 0.15$	$\tau > 12$	Very good	I
$0.15 \leq f_a < 0.30$	$12 \geq \tau > 10$	Good	II
$0.30 \leq f_a < 0.40$	$10 \geq \tau > 8$	Medium	III
$0.40 \leq f_a < 0.60$	$8 \geq \tau > 6$	Sufficient	IV
$f_a \geq 0.60$	$6 \geq \tau$	Poor	V

Table 1. 2 - Classification of the envelope quality for summer according to the II method

The technical rule UNI TS 11300-1 is based on the UNI EN ISO 13790:2008 monthly method for the calculation of the thermal energy need for space heating and cooling.

In particular, in summer conditions, the cooling load (in MJ) is given by:

$$Q_{C,nd} = Q_{C,gn} - \eta_{C,ls} \cdot Q_{C,ht} = (Q_{int} + Q_{sol}) - \eta_{C,ls} \cdot (Q_{C,tr} + Q_{C,ve}) \quad 1.2$$

Where $Q_{C,gn}$ represents the internal load, including solar energy through openings, $Q_{C,ht}$ represents the heat transfer for transmission and ventilation, $\eta_{C,ls}$ is the loss utilization factor for cooling (non-dimensional), defined as a function of τ and γ_C :

$$\eta_{C,ls} = f(\tau, \gamma_C) \quad 1.3$$

where

$$\tau = \frac{C}{H} \quad 1.4$$

and

$$\gamma_C = \frac{Q_{C,gn}}{Q_{C,ht}} \quad 1.5$$

τ is the building time constant (h) which characterizes the inside thermal inertia of the heated space, given by the ratio between C, that is the real inside thermal capacity (J/K) and H, that it is the coefficient of thermal loss of the building (W/K) (the average thermal transmittance of the building), while γ_C (non-dimensional) is the ratio between the free contributions by solar and internal sources $Q_{C,gn}$ and the total heat transfer $Q_{C,ht}$.

The rule presents the correlation in graphical form, too (Figure 1. 1).

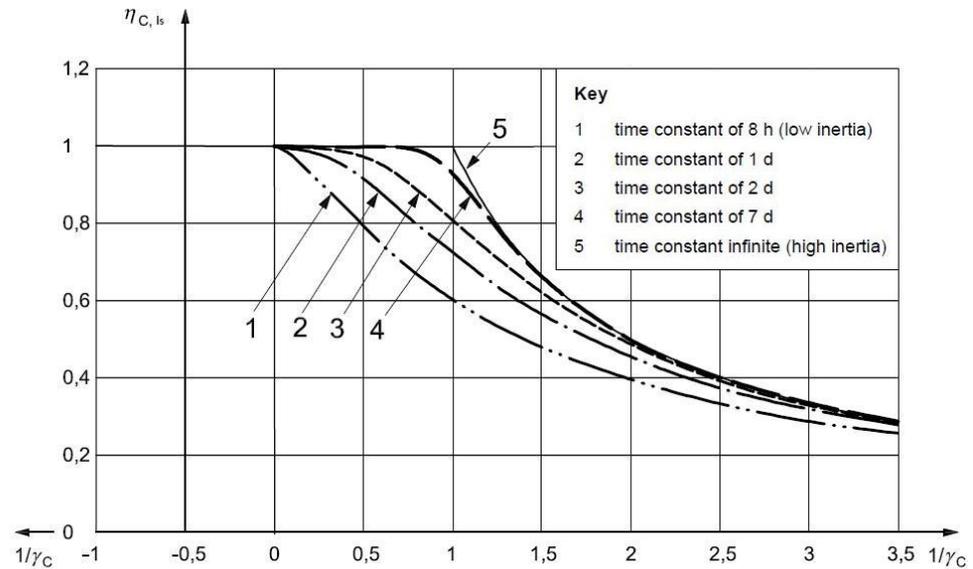


Figure 1. 1- Correlation between the loss utilization factor for cooling and the gain-loss ratio

1.6 Passys research project

The definition of the gain/loss utilization factor which appears in the UNI EN ISO 13790:2008 (and the Italian UNI/TS 11300-1:2008) derives from a research project, called PASSYS, launched by the European Commission in 1986.

Passys stands for “PASSive Solar Components and Systems Testing”.

Ten countries and many researchers were involved in the project, whose main objective was to develop reliable and affordable procedures for testing the thermal and solar characteristics of all types of building components, in particular of passive solar components, in collaboration with industry (in order to relate the research to the need of the industrial production) and European standardization activities (in order to make tools available to designers, architects, researchers, for their professional activity).

A first part of research, called Passys I, was focused to produce a European correlation based method, which was named later PASSPORT, for the assessment of the building heat requirements, and thermal performance of its passive solar

components as well as for giving an indication of the prevailing comfort. Heat requirements were calculated by subtracting from the heat losses of each zone the amount of solar and internal gains which contribute to reduce those requirements.

To this purpose, several identical test cells were realized in each test site, with standardized measurement instruments, heating and cooling systems, to ensure the comparability and exchangeability of the results.

A reference tool, called ESP, was used for running building simulations and comparing experimental results.

At the end of the first phase of the research a preliminary version of PASSPORT was available and validated for a very limited number of features.

The finalization of PASSYS I was the improvement and validation of the PASSPORT method.

In the second phase of the research, called PASSYS II, the collaboration with CEN brought, among other things, to the definition of the gain utilization factor η (usable part of gains) as a function of the building thermal gain to load ratio (γ_c) and the time constant of the building (τ).

It was obtained by relating experimental results of many real test cases (applied to the above mentioned test cells) with ESP simulation model results through a process of curve fitting.

A similar method was applied to assess summer comfort.

PASSYS also contributed to the development of a simplified simulation tool for the calculation of internal temperatures in summer as a European standard.

1.7 Considerations

The legislative panorama allows to make the following comments:

— The current definition of the gain/loss utilization factor originates from a posteriori process, that is a derivation from a curve fitting procedure relating experimental results and simulations, and not from a theoretical basis, funded on the intrinsic building features: for this reason, the current formulation proves to be not well suited, especially in summer conditions. Indeed the scientific community still debates on a definition of gain utilization factor for cooling based on the thermo-physical characteristics of the building components.

— In winter conditions, the following relation holds:

$$Q_{H,nd} = Q_{H,ht} - \eta_{H,gn} \cdot Q_{H,gn} \quad 1.6$$

that is all free contributions are positive, going into the control volume and lightening the thermal load ($Q_{H,ht}$ is lost heat through transmission and ventilation, $Q_{H,gn}$ is free contributions). In summer conditions, it happens that:

$$Q_{C,nd} = Q_{C,gn} - \eta_{C,ls} \cdot Q_{C,ht} \quad 1.7$$

when the outdoor temperature is lower than the indoor temperature, $T_e < T_i$, the heat transfer is positive $Q_{C,ht} > 0$, and $\eta_{C,ls} = f(\tau, \gamma_C) < 1$, so part of the heat gain is lost to the environment, lightening the thermal load.

When the outdoor temperature is higher than the indoor temperature $T_e > T_i$, the heat transfer is negative $Q_{C,ht} < 0$, and $\eta = 1$, therefore summer thermal load is further increased.

So, in summer conditions, it is not possible to predict whether $Q_{C,ht}$ is positive or negative, because it changes during the day, according to the sign of the difference between internal and external temperatures.

— Summer condition is not contemplated in the energy certification document: infact, the DL 311/2006 recommends the adoption of screens to reduce free solar contributions, and/or suitable specific mass of the opaque walls for some conditions of solar irradiance, and the natural ventilation for air quality.

Then, the Legislative Decree 26/06/2009 provides only a qualitative evaluation of the envelope characteristics to reduce the energy needs for summer conditioning.

All these dispositions are insufficient in comparison with rules stated for winter air-conditioning; this is detrimental for many Italian regions, where cooling is more demanding than heating.

— the legislative freedom of Regions as far as energy is concerned, has contributed to a proliferation of schemes and non homogeneous procedures on certification; that implies the risk that buildings with the same energy performance may be differently ranked depending on the Region in which they are located. Besides every methodology introduces more or less detailed approaches with the result that some simplifications can compromise the prediction reliability of the same procedures.

— the energy certification introduces different problems if we consider new or existing buildings: for new buildings, thermal-physical characteristics of the components and the systems are known, the assessment of the energy consumption is relatively simple, and consequently the energy certification is achievable in a reliable way; for existing buildings, this evaluation becomes more complex, because very often those characteristics are not known, neither the constructive structure.

— The most credited dynamic software tools, i.e. Energy Plus, are based on complex mathematical models, such as the Heat Balance Method: building, system and plant energy balances are solved simultaneously, by determining the heating/cooling loads at each time steps, through calculation processes involving a surface-by-surface conductive, convective, and radiant heat balance for each room surface and a convective heat balance for the room air.

1.8 Research project objectives

Using the Admittance method as the reference mathematical model for the building energy assessment, the research project intends to give a theoretical contribution on summer condition characterization, through the following main objectives:

- a. Search for a more reliable mathematical model for solar radiation, with comparison with Energy Plus results.
- b. Search for a correlation for the solar gain response factor F , as a function of the intrinsic thermo-physical characteristics of building components, that is a single value transfer function able to link the input (the driving force) to the output (the thermal load).
- c. Classification of Italian existing buildings typologies through the solar gain response factor F .

2. The Admittance Procedure

2.1 The dynamic thermal characteristics

The Harmonic Analysis allows to characterize the building components through synthetic indexes, such as the Admittance, the Decrement factor, the Surface factor, the periodic heat capacity, etc.

Each index is a complex number, characterized by a modulus and a phase, and expresses the thermal response of the element to a sinusoidal heat driving force.

If this force is a continuous and periodic function, it can be expressed as a linear combination of simple sinusoidal functions (called harmonics), and the response of the element to the real force can be calculated as the sum of the single harmonic responses, according to the Fourier techniques.

Thanks to the building high inertia, the thermal response of the building components can be calculated as a sum of a limited number of harmonics (5-10), and the walls behaviour can often be described by just the first harmonic, because it is prevailing and contains most of the energy.

The wall thermal response to the different driving forces is given by the sum of a steady-state component and a fluctuating one.

The first term is given by:

$$\bar{q}_k = U_k (t_{as} - t_i) + (1 - U_k R_{i_k}) \cdot \alpha_k \cdot \bar{\varphi}_i \quad 2.1$$

where

t_{as} is the sol-air temperature (K)

t_i is the indoor temperature (K)

U_k the component thermal transmittance (Wm^2/K)

α_k the component absorptance (which has to be specified if is solar or infrared)

R_i the surface resistance (m^2K / W)

$\bar{\varphi}_i$ is the mean value of the radiant flux incident from inside (W/m²).

The second term is equal to:

$$\tilde{q}_k = X\tilde{\theta}_e - Y\tilde{\theta}_i + Z'\tilde{\varphi}_i \quad 2.2$$

Where $\tilde{\theta}_i$ and $\tilde{\theta}_e$ are the fluctuating components of the indoor and outdoor temperatures, while $\tilde{\varphi}_i$ is the fluctuating component of the internal incident radiant heat flows (solar and internal gains).

X,Y and Z express the wall response to the driving forces $\tilde{\theta}_i$, $\tilde{\theta}_e$ and $\tilde{\varphi}_i$ (Figure 2. 1).

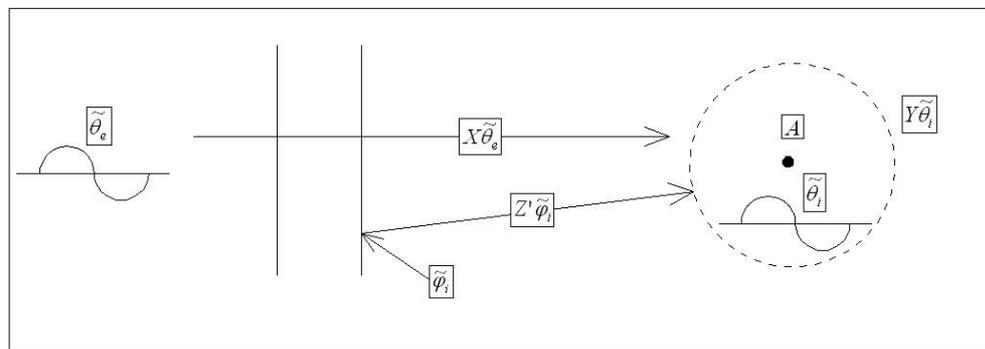


Figure 2. 1 - Heat flows in the Harmonic Analysis

In particular, the Dynamic thermal transmittance (X) represents the wall response to a unit fluctuation of the outdoor temperature, when other fluctuating components are zero ($\tilde{\theta}_i = \tilde{\varphi}_i = 0$):

$$X = \left. \frac{\tilde{q}_i}{\tilde{\theta}_e} \right|_{\tilde{\theta}_i = \tilde{\varphi}_i = 0} \quad 2.3$$

In the scientific literature the ratio X/U (U=Steady thermal transmittance) is the Decrement factor.

The Admittance (Y) represents the wall response to a unit fluctuation of the indoor temperature, when other fluctuating components are zero ($\tilde{\theta}_e = \tilde{\varphi}_i = 0$):

$$Y = \frac{\tilde{q}_i}{\tilde{\theta}_i} \Big|_{\tilde{\theta}_e = \tilde{\varphi}_i = 0} \quad 2.4$$

It is out coming from the control volume of the room, so it is conventionally considered as a negative value.

The Surface factor (Z') represents the wall response to a unit radiant fluctuating heat flow incident on its internal surface, when other fluctuating components are zero ($\tilde{\theta}_i = \tilde{\theta}_e = 0$):

$$Z' = \frac{\tilde{q}_i}{\tilde{\varphi}_i} \Big|_{\tilde{\theta}_e = \tilde{\theta}_i = 0} \quad 2.5$$

The scientific literature (Millbank 1974; CIBSE 1986; UNI 13786) reports the operative formulas for X and Y as a function of the wall thermo-physical characteristics (layer thickness, thermo-physical properties and liminar resistances).

While the Admittance, the decrement factor, the periodic heat capacity (defined as the energy stored by a square meter area of the wall surface as a consequence of a unit temperature variation applied on it), have been analyzed in many scientific works (Balcomb 1983-a,b; Asan 2000, 2006; Ciampi et al. 2001, 2004, 2007), the Surface factor has not yet been adequately treated.

2.2 The Surface factor

As known, radiant heat does not influence directly the air temperature (as the convective contributions), but it is first absorbed by the room walls and objects and then released to the air as a convective flux.

The building components intervene in this process through their thermo-physical characteristics, the sequence of the wall layers, the heat transmission through materials, etc.

Many theoretical attempts have been made to characterize the radiant heat processes, such as the Heat Balance method and the Time series Method

(Spitler J.D. et al. 1997, Rees et al. 1998, Spitler e Rees 1998, Rees et al. 2000, ASHRAE 2005): they are based on the hypothesis that the shortwave and longwave fractions of the radiant heat from endogenous sources are known quantities, besides the solar radiation is assumed to be all shortwave and its beam component hits just the floor, while the diffused one is uniformly distributed throughout the zone.

The Admittance procedure is based on similar assumptions and markedly on the hypothesis that the radiant flux incident from inside is a sinusoidal function.

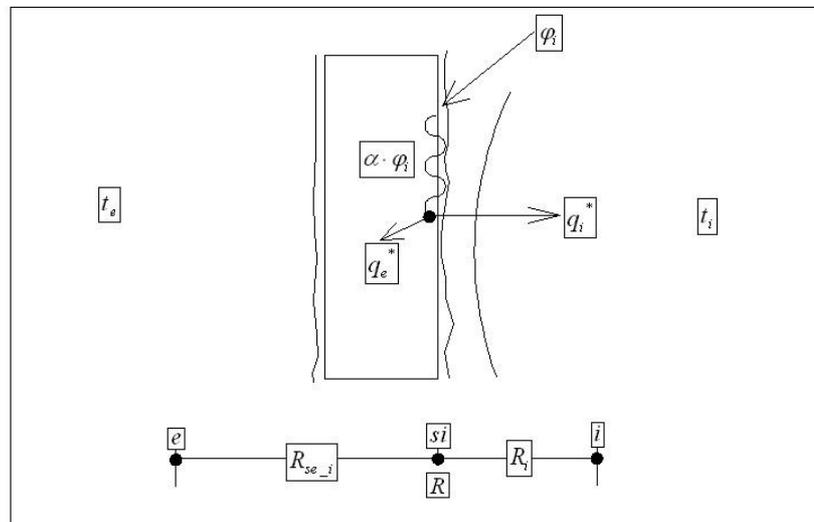


Figure 2. 2 - Internal incident radiant heat flow

To model an operative formula for Z , let us consider the wall exposed to the internal temperature t_i and the radiant flux incident from inside φ_i (Fig.2.2).

This last flux will be absorbed according to the wall absorptance α .

Let us assume that the heat flow is absorbed on the inner surface, under the liminar resistance, and that it is made by two components: q_i^* , entering the room volume and q_e^* , flowing across the wall:

$$\alpha \cdot \varphi_i = q_i^* + q_e^* \quad 2.6$$

The two terms q_i^* and q_e^* can be evaluated as a function of the thermal resistances R , R_i and R_{se_i} shown in the Figure 2. 2:

$$\left\{ \begin{array}{l} q_i^* = h_{oi}(t_{si} - t_i) = \frac{t_{si} - t_i}{R_i} \end{array} \right. \quad 2.7$$

$$\left\{ \begin{array}{l} q_e^* = \frac{t_{si} - t_e}{R_{se_i}} = \frac{t_{si} - t_e}{R - R_i} \end{array} \right. \quad 2.8$$

So:

$$\frac{q_i^*}{q_e^*} = \frac{t_{si} - t_i}{t_{se} - t_e} \cdot \frac{R - R_i}{R_i} \quad 2.9$$

In order to cancel the internal surface temperature t_{si} , which is unknown, let us assume $t_i = t_e$. It follows that:

$$\frac{q_i^*}{q_e^*} = \frac{R - R_i}{R_i} \quad 2.10$$

By combining equations (2.6) and (2.9), we obtain:

$$q_i^* = \alpha \cdot \varphi_i \cdot \left(1 - \frac{R_i}{R}\right) \quad 2.11$$

The operative formulas of the Surface factor for the steady-state and the dynamic regime are respectively:

$$\bar{Z}' = \frac{\bar{q}_i^*}{\bar{\varphi}_i} = \alpha \cdot (1 - U \cdot R_i) \quad 2.12$$

$$Z' = \frac{\tilde{q}_i^*}{\tilde{\varphi}_i} = \alpha \cdot (1 - Y \cdot R_i) \quad 2.13$$

Notice that in dynamic regime the resistances have to be substituted by the impedances, where the impedance is the inverse of the admittance and the liminar layer impedance coincides with its resistance (because it has only resistive capacity). Notice also that in the UNI EN ISO 13792 the Surface factor is defined in relation with the absorbed flux and not to the incident heat flow:

$$\bar{Z} = 1 - U \cdot R_i \quad 2.14$$

$$Z = 1 - Y \cdot R_i \quad 2.15$$

2.3 The Surface factor for one-layered and multi-layered walls

The Surface factor has been calculated for some one-layered walls, as a function of their thickness, in order to show the different behaviour of building materials towards radiant heat flows incident from inside.

The liminar resistances are $R_i=0.13 \text{ m}^2\text{K/W}$ and $R_e=0.04 \text{ m}^2\text{K/W}$, as assumed in the UNI 6946. The thermo-physical characteristics of the materials are reported below (Table 2. 1):

Material	Conductivity (Wm/K)	Density (kg/m ³)	Specific heat (Jkg/K)	Effusivity (Wm ² /K)
Symbol	λ	ρ	c	ζ
<i>Lava</i>	2	2300	840	16.8
<i>Concrete</i>	1.2	2000	880	12.4
<i>Hollow bricks</i>	0.4	750	840	4.3
<i>Porotherm br.</i>	0.2	630	840	2.8
<i>Polystyrene</i>	0.035	40	1250	0.4

Table 2. 1 – Thermo-physical characteristics of materials

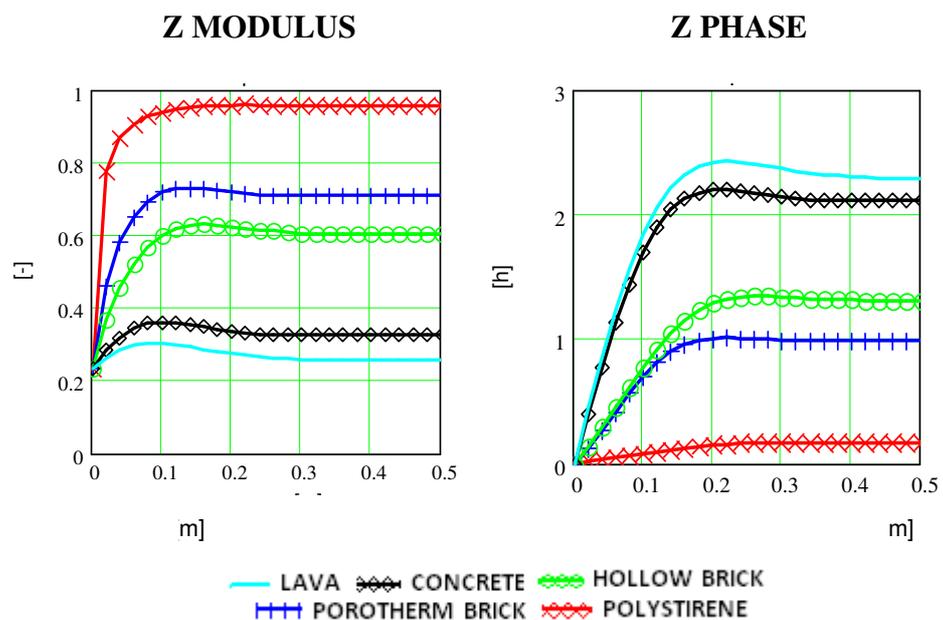


Figure 2. 3 - Z Modulus and Phase vs thickness of one-layered walls

As we can see (Figure 2. 3), the position of each curve in the diagram reflects the value of the thermal effusivity of the material, defined as:

$$\zeta = \sqrt{\frac{2\pi}{P} \cdot \rho\lambda c} \quad 2. 16$$

For all materials, the highest time shift occurs approximately at a thickness of 20 cm, and it is about 2 h for the massive materials, about 1 h for the light ones and only a few minutes for the insulation.

Now let us consider a variety of multi-layered walls, such as:

1. External wall insulation (expanded polystyrene) with semi-solid bricks (WALL 1);
2. Two-layers hollow bricks wall (25 cm at the internal face + 12 cm at the external face) with insulation (glass wool) in the air gap (WALL 2);
3. As n.2, but with hollows bricks 12 cm at the internal face and 25 cm at the external face (WALL 3);
4. As n.2, but with hollows bricks 8 cm at the internal face and 12 cm at the external face (WALL 4);
5. Sandwich concrete wall with internal insulation (expanded polystyrene) (WALL 5);

Even if the structures are very different, all walls present a Z time lag of 2 h and release at most the 60% of the incident heat flow (Figure 2. 4).

Insulating materials reflect the thermal wave more easily, while the other ones reflect the flux in inverse proportion with their effusivity.

Besides, the insulation thickness doesn't influence the Z modulus and phase: as we can see in following figures, all curves present a starting increasing trend, followed by an asynthetic trend.

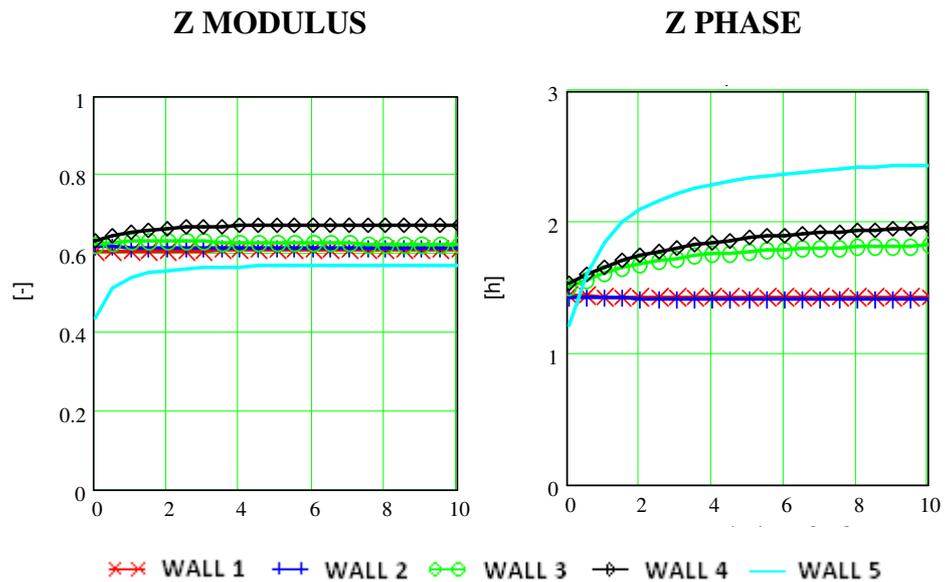


Figure 2. 4 - Z Modulus and Phase vs thickness of multi-layered walls

2.4 The walls total response

Using the operative formula seen in the paragraphs 2.1 and 2.2, it is possible to calculate heat flows released by the following two-layered walls:

1. Lava 10 cm + polystyrene 2 cm (WALL 6);
2. Concrete 10 cm + polystyrene 2 cm (WALL 7);
3. Hollow brick 10 cm + polystyrene 2 cm (WALL 8);
4. Polystyrene 10 cm + polystyrene 2 cm (WALL 9).

The walls thermal response has been calculated in the cases of internal and external insulation, without (CASE I) and with (CASE II) endogenous heat flows.

Common simulation conditions are: the sol-air temperature for the West exposure and the indoor temperature $T_i=25^{\circ}\text{C}$.

In CASE II, assume a radiant circulating heat flow caused by the entering radiation from the window.

In the Figure 2. 5 and Figure 2. 6, there is the representation of the driving forces on the basis of original data (hourly values) and as the reconstruction through 1,2...10 harmonics.

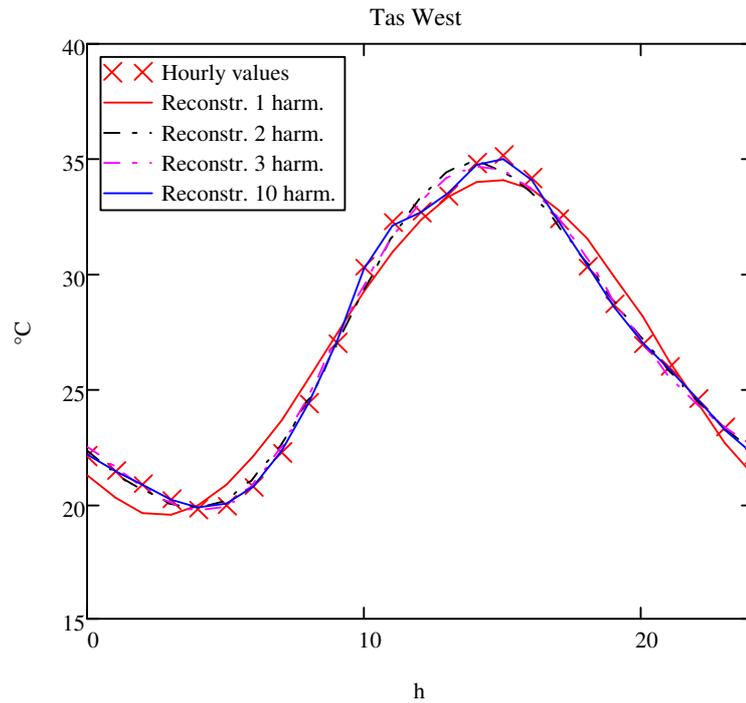


Figure 2. 5 - Sol-air temperature for vertical walls due West

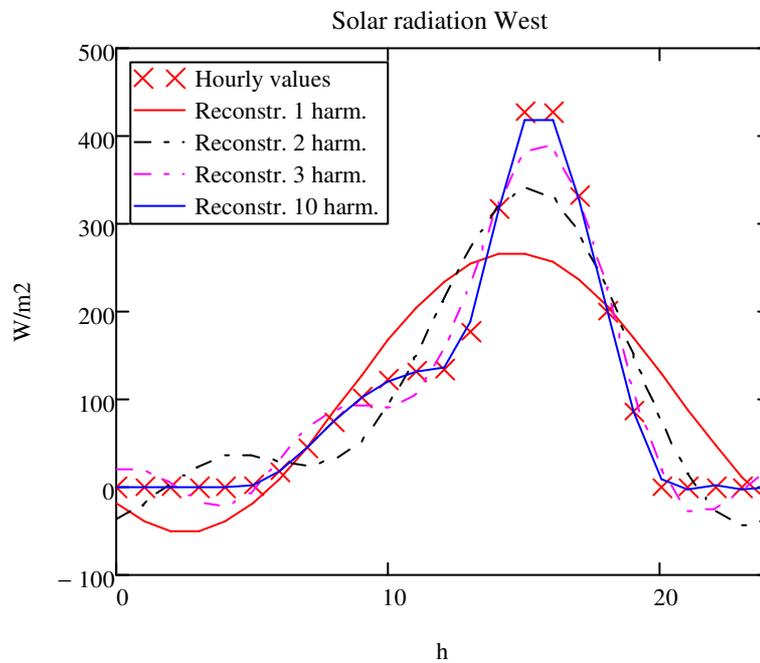


Figure 2. 6 - Solar radiation for vertical walls due West

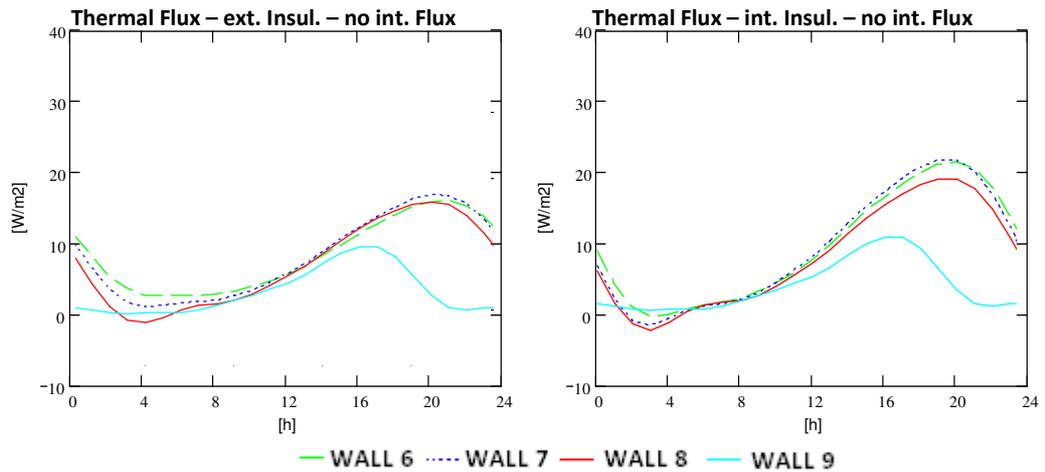


Figure 2. 7- CASE I: Thermal flux without internal incident flux

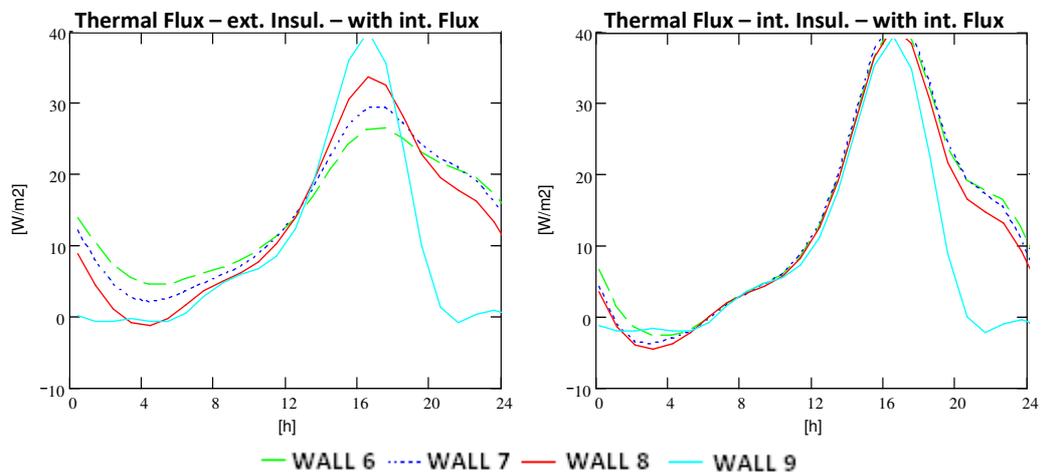


Figure 2. 8 – CASE II: Thermal flux with internal incident flux

As regards the CASE I (Figure 2. 7), as we can see from the, walls behaviour do not change very much if we consider internal or external insulation.

Notice that the wall 9 presents the highest thermal resistance, and the lowest time shift, because of its lower heat capacity.

Instead, in the CASE II (Figure 2. 8), there is a high increase of the transmitted thermal flux, with a higher time shift for low effusivity walls (8 and 9) if compared to the previous case; in particular, for internal insulation, the walls thermal response is almost the same: infact, internal incident heat flow hits the insulation bouncing back to the room; other material layers, “hidden” by the insulation, can’t influence the thermal response and present the same time shift.

For external insulation, each material plays a role in the storage and in the release of heat according its thermo-physical features: so low effusivity walls (8 and 9) present little time shifts and high peak heat flows, the high effusivity walls (6 and 7) just the opposite.

2.5 The room global heat balance

The room global heat balance can be expressed as:

$$m_a C_a \frac{dT_a}{d\tau} = \sum_j Q_j(\tau) \quad 2.17$$

As the air thermal capacity ($m_a C_a$) is very low, first term can be considered negligible, so the global heat balance becomes:

$$\sum_j Q_j(\tau) = 0 \quad 2.18$$

where the summation include all heat contributions which go through the room air volume, each one given by the sum of its steady-state and oscillating terms. So:

$$\sum_j Q_j(\tau) = \sum_j \left[\bar{Q}_j + \sum_n \tilde{Q}_j(\tau, n) \right] = 0 \quad 2.19$$

By simple passages, it follows that:

$$-\sum_j \bar{Q}_j = \sum_n \sum_j \tilde{Q}_j(\tau, n) \quad 2.20$$

As the first term is time independent, while the second is time dependent, both terms must be equal to an arbitrary constant, K.

Let $K=0$; it follows that:

$$-\sum_j \bar{Q}_j = \sum_n \sum_j \tilde{Q}_j(\tau, n) = K = 0 \quad 2.21$$

The previous double equation can be written as a linear system:

$$\left\{ \begin{array}{l} \sum_j \bar{Q}_j = 0 \end{array} \right. \quad 2.22$$

$$\left\{ \begin{array}{l} \sum_n \sum_j \tilde{Q}_j(\tau, n) = 0 \end{array} \right. \quad 2.23$$

The equation (2.23) can exist, if (sufficient condition, not necessary), for any τ and n :

$$\sum_j \tilde{Q}_{j,n}(\tau) = 0 \quad 2.24$$

This means that, there is a linear system of 24 equations (for each hour τ), and this system has to be replied for each harmonic index n .

The previous system becomes a set of n systems, such as:

$$\left\{ \begin{array}{l} \sum_j \bar{Q}_j = 0 \\ \left[\sum_j \tilde{Q}_j(\tau) \right]_n = 0 \end{array} \right. \quad 2.25$$

The outcome is the indoor temperature profile: infact, the first equation provides the temperature steady-state value, while the second one provides a linear system (one equation for each day hour), whose result is the temperature fluctuation for the n -th harmonic.

The steady-state component of the heat balance equation is given by:

$$\sum_k A_k U_k (\bar{\theta}_0 - \bar{\theta}_i) + \left(\sum_w A_w U_w + C_v V \right) (\bar{\theta}_e - \bar{\theta})_i + \bar{Q}_i + \sum_k A_k \bar{Z}'_k \bar{\psi} = 0 \quad 2.26$$

where:

U_k is the k -th wall steady-state thermal transmittance (W/m^2K)

A_k the k -th wall surface area (m^2) and A_w the w -th window surface area (m^2)

$\bar{\theta}_0$ is the sol-air temperature of k -th external wall (K)

U_w is the w -th window steady-state thermal transmittance (W/m^2K)

$C_v = n\rho_a C_{pa}$ (W/m^3K)

n is the air change per second (s^{-1})

ρ_a is the air density (kg/m^3)

C_{pa} is the air specific heat at constant pressure (J/kgK)

V is the room volume (m^3)

Θ_e is the outdoor temperature (K)

Q_i is the endogenous heat due to people, lighting, etc. (W)

Ψ_i is the radiant flux incident from inside of solar source (W/m^2)

Θ_i is the unknown steady-state indoor temperature (K).

Assumed the steady-state known value as:

$$\bar{\Omega} = \left(\sum_k A_k U_k \right) \bar{\theta}_0 + \left(\sum_w A_w U_w + C_v V \right) \bar{\theta}_e + \bar{Q}_i + \sum_k A_k \bar{Z}'_k \bar{\psi} \quad 2.27$$

By simple passages, the steady-state indoor temperature is given by:

$$\bar{\theta}_i = \frac{\bar{\Omega}}{\sum_k A_k U_k + \sum_w A_w U_w + C_v V} \quad 2.28$$

The fluctuating component of the heat balance equation is given by:

$$\sum_k \tilde{Q}_k(n, \tau) + \left(\sum_w A_w U_w + C_v V \right) \left[\tilde{\theta}_e(n, \tau) - \tilde{\theta}_i(n, \tau) \right] + \tilde{Q}_i(n, \tau) = 0 \quad 2.29$$

Remembering that:

$$\begin{aligned} \sum_k \tilde{Q}_k(n, \tau) = & \sum_k A_k \left\{ |X|_{n,k} \tilde{\theta}_0(n, \tau + (\phi_X)_{n,k}) - \right. \\ & \left. - |Y|_{n,k} \tilde{\theta}_i(n, \tau + (\phi_Y)_{n,k}) + |Z|_{n,k} \tilde{\psi}(n, \tau + (\phi_Z)_{n,k}) \right\} \end{aligned} \quad 2.30$$

and assumed the fluctuating known value as:

$$\begin{aligned} \tilde{\Omega}(n, \tau) = & \sum_k A_k \left\{ |X|_{n,k} \tilde{\theta}_0(n, \tau + (\phi_X)_{n,k}) + |Z|_{n,k} \tilde{\psi}(n, \tau + (\phi_Z)_{n,k}) \right\} + \\ & + \left(\sum_w A_w U_w + C_v V \right) \tilde{\theta}_e(n, \tau) + \tilde{Q}_i(n, \tau) \end{aligned} \quad 2.31$$

We can write:

$$\tilde{\Omega}(n, \tau) = A_k |Y|_{n,k} \tilde{\theta}_i(n, \tau + (\phi_Y)_{n,k}) + \left(\sum_w A_w U_w + C_v V \right) \tilde{\theta}_i(n, \tau) \quad 2.32$$

The τ variable is continuous in the interval [1,24]; in order to put previous relations into matrix form, the θ_i functions should be classified into discrete values in relation with different time steps, such as:

$$\theta_{i0}(n) \quad \theta_{i1}(n) \quad \dots \quad \theta_{i24}(n) \quad 2.33$$

Let us introduce the vector notation:

$$\theta_{ii}(n) = \tilde{\theta}(n, t) \quad 2.34$$

where:

$$t = \text{int}(\tau + (\phi_Y)_{n,p}) - 24 \text{int}(\tau + (\phi_Y)_{n,p}) > 24 + 24 \text{int}(\tau + (\phi_Y)_{n,p}) < 0 \quad 2.35$$

t is a series of natural numbers, like $t_0=0, t_1=1 \dots t_{24}=24$: in this way it is possible to change the variable τ into t in all time dependent functions and the fluctuating component of the heat balance equation becomes:

$$\begin{aligned} & \left(\sum_k A_k |Y|_{n,k} \right)_0 \theta_{i0}(n) + \left(\sum_k A_k |Y|_{n,k} \right)_1 \theta_{i1}(n) + \dots + \left(\sum_k A_k |Y|_{n,k} \right)_{24} \theta_{i24}(n) + \\ & + \left(\sum_w A_w U_w + C_v V \right) \theta_{ii}(n) = \Omega_i(n) \end{aligned} \quad 2.36$$

In matrix form:

$$\begin{pmatrix} \left(\sum_k A_k |Y|_{n,k} \right)_0 + \left(\sum_w A_w U_w + C_v V \right) & \dots & \left(\sum_k A_k |Y|_{n,k} \right)_{24} \\ \left(\sum_k A_k |Y|_{n,k} \right)_0 & \dots & \left(\sum_k A_k |Y|_{n,k} \right)_{24} \\ \left(\sum_k A_k |Y|_{n,k} \right)_0 & \dots & \left(\sum_k A_k |Y|_{n,k} \right)_{24} \\ \left(\sum_k A_k |Y|_{n,k} \right)_0 & \dots & \left(\sum_k A_k |Y|_{n,k} \right)_{24} + \left(\sum_w A_w U_w + C_v V \right) \end{pmatrix} \begin{pmatrix} \theta_{i0}(n) \\ \theta_{i1}(n) \\ \dots \\ \theta_{i24}(n) \end{pmatrix} = \begin{pmatrix} \Omega_0(n) \\ \Omega_1(n) \\ \dots \\ \Omega_{24}(n) \end{pmatrix} \quad 2.37$$

Be $[M]_n$ the matrix related to n -th harmonic, for each n , the fundamental equation can be written as:

$$[M]_n [\theta_i]_n = [\Omega]_n \quad 2.38$$

So, the fluctuating components of the indoor temperature results from:

$$[\theta_i]_n = [M]_n^{-1} \cdot [\Omega]_n \quad 2.39$$

The indoor temperature, at time instant t , will be:

$$(T_i)_{t,N} = \bar{\theta}_i + \sum_{n=1}^N (\theta_i)_{t,n} \quad 2.40$$

In the case of a conditioned zone, the indoor temperature profile is known, while the thermal load, defined as the thermal power which has to be introduced or extracted from the room in order to maintain a certain indoor temperature is unknown.

The global heat balance equation becomes:

$$\left\{ \begin{array}{l} \bar{L} = \left(\sum_k A_k U_k + \sum_w A_w U_w + C_v V \right) \cdot \bar{\theta}_i - \bar{\Omega} \quad 2.41 \\ \tilde{L}(n, \tau) = A_k |Y|_{n,k} \tilde{\theta}_i(n, \tau + (\phi_Y)_{n,k}) + \left(\sum_w A_w U_w + C_v V \right) \tilde{\theta}_i(n, \tau) - \tilde{\Omega}(n, \tau) \quad 2.42 \end{array} \right.$$

Solving the previous system, the thermal load will be given by:

$$L_{t,N} = \bar{L} + \sum_{n=1}^N \tilde{L}_{t,n} \quad 2.43$$

To conclude, it is possible to calculate the walls surface internal temperature.

In the paragraph 2.1 the generic wall thermal response to the different driving forces has been defined as the sum of its steady-state and fluctuating components:

$$q(\tau) = \bar{q} + \tilde{q}(\tau) \quad 2.44$$

In particular:

$$q(\tau, N) = \bar{q} + \sum_{n=1}^N \tilde{q}(\tau, n) \quad 2.45$$

The wall surface internal temperature can be calculated as:

$$T_{si}(\tau, N) = T_i(\tau, N) + \frac{q(\tau, N)}{h_{oi}} \quad 2.46$$

The above mentioned mathematical model based on the Admittance method has been implemented into a routine developed in the Mathcad environment.

3. Mathematical modeling for solar radiation

As seen from the previous analysis, in the Admittance Procedure, the main heat processes as treated are linked both to the internal and external temperature fluctuations as well as the internal radiant incident heat flows.

The wall response can be predicted by a two-stage calculation procedure in which the mean and fluctuating components of loads and temperatures are calculated separately.

In particular, the steady component is modeled starting from a common environmental temperature node, which is used to calculate the combined radiant and convective heat exchange with the room surfaces.

Other assumptions are: uniform temperature throughout the zone and uniform surface temperatures; uniform long-wave (LW) and short-wave (SW) irradiation, diffuse radiating surfaces, one-dimensional heat conduction within the wall layers.

As regards solar radiation, two mathematical models have been considered:

- 1) For the first model, solar radiation is assumed as all diffusing into the room, so there is no distinction between its direct and diffuse components;
- 2) For the second model, solar radiation beam component hits just the floor, while the diffused one is uniformly distributed throughout the zone; in this case, the ratio between the direct and total radiation, such as the ratio between the diffuse and total radiation are assumed as constant values.

The choice of the most reliable model will be made through simulation tests in comparison with those obtained by the Energy Plus software.

3.1 First mathematical model for solar radiation

Before solar radiation comes into the room, it goes through the window, so the total entering solar radiation will be:

$$\begin{aligned} I_{in}(\tau, n) &= A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot F_{se}(\tau, n) \cdot I_{sol}(\tau, n) = \\ &= A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot I'_{sol}(\tau, n) \end{aligned} \quad 3.1$$

where:

I_{sol} is the incident solar irradiance (W/m^2)

A_w is the window surface (m^2)

SC is the shading coefficient

Fr is the reduction coefficient due to window frame

A_w , SC and F are fixed values

g_s^\perp is the solar factor of simple glass for normal solar radiation incidence.

F_{si} is the reduction coefficient due to internal shadings (curtains, slats).

Normally, g_s and F_{si} are time variable, because g_s depends on the solar incidence angle, while F_{si} depends on the user behaviour. To preserve the linearity of the relation, g_s is considered for a normal solar radiation incidence (g_s^\perp) as F_{si} is considered a fixed value.

F_{se} is the reduction coefficient due external (horizontal and/or vertical overhangs) objects/obstructions; it is due to fixed obstacles in front of the window and depends on solar radiation incidence, so it can be considered as included in the driving force, which becomes I'_{sol} .

In this way, solar radiation is submitted to a first transfer function, F_1 , which relates the input (the solar irradiance I'_{sol}), to the output (the heat flow coming into the room).

As a result, F_1 is equal to

$$F_1 = \frac{I_{in}(\tau, n)}{I'_{sol}(\tau, n)} = A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \quad 3.2$$

This research project has evaluated two different models for solar gains characterization:

The first model of solar radiation has considered that solar gains are distributed uniformly into the zone: the model is the Ulbricht sphere, a high diffusive cavity, having a little aperture with a light source, that is, in our case, the entering radiation I_{in} .

The light rays incident on any point of the inner surface are, by multiple scattering reflections, equally distributed to all other points and the effects of the original direction of such light are minimized.

According to this model, in the case of non-spherical cavity (i.e. rooms), the circulating radiant heat flow can be shown to be

$$\Gamma(\tau, n) = \frac{I_{in}(\tau, n)}{(1 - \rho_m)A_{tot}} \quad 3.3$$

where A_{tot} is the total wall surface and ρ_m is the mean reflectance, defined as the average reflectance of walls, weighted on their surface area:

$$\rho_m = \sum_i \frac{A_i \rho_i}{A_{tot}} \quad 3.4$$

This approach allows to define a second transfer function, F_2 , which relates the incident flux I_{in} (input) with the heat flow circulating into the room, Γ (output).

So, F_2 is equal to

$$F_2 = \frac{\Gamma(\tau, n)}{I_{in}(\tau, n)} = \frac{1}{(1 - \rho_m)A_{tot}} \quad 3.5$$

Last step is the heat gain absorption by k-th wall and the reemission as longwave (LW) radiation, reduced and time shifted, through the Surface Factor Z, that is the third transfer function, so

$$F_3(n, k) = \alpha_k Z_k(n) = Z'_k(n) \quad 3.6$$

Where α_k is the k-th wall solar absorptance, and "n" the harmonic index.

The response factor F_1, F_2, F_3 are represented in Figure 3. 1.

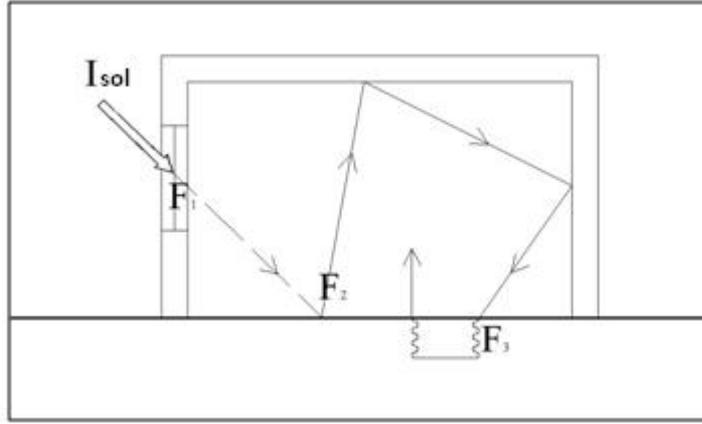


Figure 3. 1- First mathematical model for solar radiation

For each wall ($k=1,2..6$), the fluctuating component of the response heat flow to solar radiation can be calculated as follows:

$$\begin{aligned} \tilde{q}_k(\tau, n) &= F_1 F_2 F_3(n, k) I'_{sol}(\tau + \phi_{Z_n}, n) = \\ &= \left[A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \frac{1}{(1 - \rho_m) A_{tot}} \alpha_k Z_k(n) \right] I'_{sol}(\tau + \phi_{Z_n}, n) \end{aligned} \quad 3.7$$

So:

$$\tilde{q}_k(\tau, n) = F_k(n) I'_{sol}(\tau + \phi_{Z_n}, n) \quad 3.8$$

where:

$$F_k(n) = A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \frac{1}{(1 - \rho_m) A_{tot}} \alpha_k Z_k(n) \quad 3.9$$

Actually the term

$$\frac{\alpha_k}{1 - \rho_m} \quad 3.10$$

must be specified in its SW and LW component, as follows:

$$\frac{\alpha_k}{1 - \rho_m} = \frac{(\alpha_k)_S}{1 - (\rho_m)_S} \gamma + \frac{(\alpha_k)_{IR}}{1 - (\rho_m)_{IR}} \delta \quad 3.11$$

with γ and δ as the solar and infrared fraction of the heat source.

Solar radiation can be considered as made only of shortwave (SW) radiation ($\gamma=1, \delta=0$).

The resulting total response heat flow from walls is:

$$\begin{aligned}\tilde{Q}_{tot}(\tau, n) &= \sum_k A_k \tilde{q}_k(\tau, n) = \sum_k A_k F_k(n) \cdot I'_{sol}(\tau + \phi_{Z_n}, n) = \\ &= \left[\sum_k A_k F_k(n) \right] I'_{sol}(\tau + \phi_{Z_n}, n) = F_I(n) \cdot I'_{sol}(\tau + \phi_{Z_n}, n)\end{aligned}\quad 3.12$$

So, $F_I(n)$ is the total transfer function of the zone: it links the solar radiation I'_{sol} (input), to the heat flow response Q_{tot} (output).

3.2 Second mathematical model for solar radiation

The second model for the solar gain characterization has considered the hypothesis that, after passing the window (through the transfer function F_1), solar radiation falls first directly on the floor: according to floor transfer function, its thermal response can be calculated as seen before (Figure 3. 2).

Any radiation reflected by the floor is added to the transmitted diffuse radiation, which is assumed to be uniformly distributed all over the interior surfaces (it could be treated as an Ulbricht sphere again).

So, the most relevant difference with the first model is that floor has a different, more relevant “weight” than the other walls in the thermal response to solar radiation.

In this model, the ratio between the direct and total radiation, such as the ratio between the diffuse and total radiation are assumed to be time independent variables.

Obviously, the North exposure gives the same results for both models because all solar radiation is diffuse.

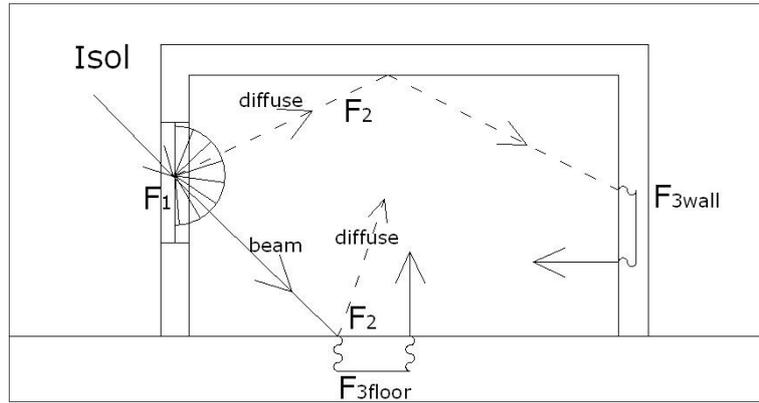


Figure 3. 2 - Second mathematical model for solar radiation

So, the total entering solar radiation will be:

$$I_{in}(\tau, n) = A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot F_{se} \cdot I_{sol}(\tau, n) \quad 3.13$$

In this case, also F_{se} is assumed as a single value, independent of time as F_{si} and g_s^\perp .

Solar radiation will be distinguished in its beam and diffuse components, assumed as constant values:

$$I_{sol}(\tau, n) = [I_b(\tau, n) + I_d(\tau, n)]_{sol} = [b + d]I_{sol}(\tau, n) \quad 3.14$$

being

$$b = \frac{[I_b(\tau, n)]_{sol}}{I_{sol}(\tau, n)} = C_1 \quad 3.15$$

and

$$d = \frac{[I_d(\tau, n)]_{sol}}{I_{sol}(\tau, n)} = C_2 \quad 3.16$$

Now, the total diffuse component of solar radiation is given by the sum of the component coming from the window (I_d) and the one generated by the floor ($\rho_f I_b$).

In total, we have:

$$I_{d_tot}(\tau, n) = \rho_f I_b(\tau, n) + I_d(\tau, n) = [\rho_f b + d]I_{sol}(\tau, n) \quad 3.17$$

The characterization of the diffuse part of solar radiation follows the first model, so for the k-th wall ($k=1,2,..6$) we have:

$$\begin{aligned} [\tilde{q}_d(\tau, n)]_k &= \left[A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot F_{se} \frac{1}{(1-\rho_m)A_{tot}} \cdot \alpha_k Z_k(n) \right] [\rho_f b + d] I_{sol}(\tau + \phi_{Z_n}, n) = \\ &= [F_d(n)]_k I_{sol}(\tau + \phi_{Z_n}, n) \end{aligned} \quad 3.18$$

where:

$$[F_d(n)]_k = \left[A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot F_{se} \frac{1}{(1-\rho_m)A_{tot}} \cdot \alpha_k Z_k(n) \right] [\rho_f b + d] \quad 3.19$$

$[F_d(n)]_k$ is the k-th wall transfer function for the diffuse radiation.

So, the total response heat flow is:

$$\begin{aligned} \tilde{Q}_d(\tau, n) &= \sum_k A_k [\tilde{q}_d(\tau, n)]_k = \sum_k A_k [F_d(n)]_k \cdot I_{sol}(\tau + \phi_{Z_n}, n) = \\ &= F_d(n) \cdot I_{sol}(\tau + \phi_{Z_n}, n) \end{aligned} \quad 3.20$$

Where $F_d(n)$ is the total transfer function for the diffuse radiation for any wall.

Moreover, the response flux to the beam radiation, which hits the floor, as follows:

$$\begin{aligned} [\tilde{q}_b(\tau, n)]_f &= (A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot F_{se}) [\alpha_f Z_f(n)] \left(\frac{b \cdot I_{sol}(\tau + \phi_{Z_n}, n)}{A_f} \right) = \\ &= [F_b(n)]_f \cdot I_{sol}(\tau + \phi_{Z_n}, n) \end{aligned} \quad 3.21$$

where:

$$[F_b(n)]_f = (A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \cdot F_{se}) [\alpha_f Z_f(n)] \left(\frac{b}{A_f} \right) \quad 3.22$$

$[F_b(n)]_f$ is the floor transfer function for the beam radiation.

In conclusion the floor total contribution is:

$$\begin{aligned} \tilde{Q}_b(\tau, n) &= A_f [\tilde{q}_b(\tau, n)]_f = A_f \cdot [F_b(n)]_f \cdot I_{sol}(\tau + \phi_{Z_n}, n) = \\ &= F_b(n) \cdot I_{sol}(\tau + \phi_{Z_n}, n) \end{aligned} \quad 3.23$$

Where $F_b(n)$ is the transfer function for the beam radiation.

The total response heat flow for the whole enclosure is:

$$\tilde{Q}_{tot}(\tau, n) = (F_d(n) + F_b(n)) \cdot I_{sol}(\tau + \phi_{Z_n}, n) = F_{II}(n) \cdot I_{sol}(\tau + \phi_{Z_n}, n) \quad 3.24$$

$F_{II}(n)$ is the transfer function of the zone for the second model: it links the solar radiation I_{sol} , to the zone heat flow response Q_{tot} , so it represents the solar gain response factor of the room.

Radiant heat gains from people, lighting and electrical equipment can be obtained from UNI-EN-ISO 13791 tables and similarly treated.

As regards the time shift, in the Admittance Procedure, the Z phase (in rad) for a generic wall is defined as:

$$\phi_k(n) = \text{atn} \left(\frac{\text{Im} Z_k(n)}{\text{Re} Z_k(n)} \right) \quad 3.25$$

Where Z is the wall Surface factor, that is a complex number (not its modulus). Considering the wall as a part of a room, with own specific thermo-physical features, we should take into account of the F response factor (as a complex number) rather than the wall Surface factor; we can write the response factor as the product of a real number f_k and Z, as a complex number:

$$F_k(n) = \left[A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \frac{1}{(1 - \rho_m) A_{tot}} \alpha_k \right] Z_k(n) = f_k \cdot Z_k(n) \quad 3.26$$

$Z_k(n)$ consists of a real and imaginary part, and it can be written as:

$$Z_k(n) = (a + ib)_{k,n} \quad 3.27$$

So, the response factor, as a complex number, is given by:

$$F_k(n) = f_k \cdot (a + ib)_{k,n} = (f_k a + i f_k b)_{k,n} \quad 3.28$$

In this approach, the F time shift (in rad) will be:

$$\phi'_k(n) = \text{atn} \left[\frac{\text{Im}(F_k(n))}{\text{Re}(F_k(n))} \right] \quad 3.29$$

And, for the whole room, it can be expressed as the average phase of all walls, weighted on their surface area (in h):

$$\phi(n) = \frac{\sum_k \phi'_k(n) \cdot A_k}{\sum_k A_k} \cdot \frac{24}{2\pi} \quad 3.30$$

The described mathematical models for solar radiation have been included into the Admittance Procedure routine developed in Mathcad environment.

3.3 Reliability of the Admittance Procedure models

The choice of the most reliable model of the Admittance Procedure (AP) for solar radiation has been possible on the basis of a comparison with Energy Plus (EP) results.

The Energy Plus is a software which allows building simulations in dynamic regime on the basis of the Heat Balance Method.

Besides, it has an enormous potential of combination of building and system components, user profiles and environmental conditions.

For all these reasons is one of the most credited simulation tool for researchers in the world.

In this study, we considered an unconditioned room with only one external wall with a window.

The simulation conditions are as follows:

- Room size: 5x5x3 m³
- Climatic conditions and location: 21st July in Catania
- Window exposures: North, South, East, West
- Boundary conditions: only one external wall (other walls border on conditioned rooms)
- Window area: 2 m², U_w= 2.8 W/m²K, SC= 0.86, F=0.88, g_s[⊥]=0.876
- Walls, floor and ceiling solar absorptance: α_k=0.3
- Absence of internal and external obstructions (F_{si}= F_{se}=1)
- For the second model: C₁=0.56 and C₂=0.44

The external wall, internal walls, floor and ceiling thermo-physical characteristics are shown in the following Table 3. 1.

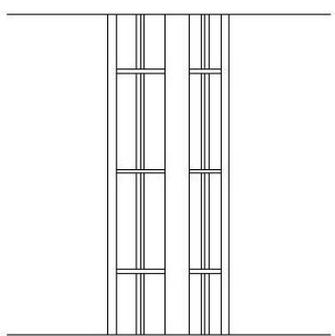
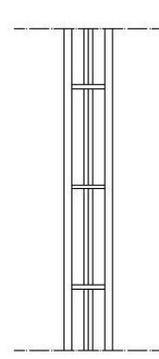
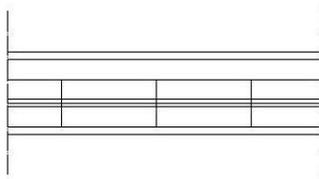
External wall 	Layers	s (m)	λ (W/mK)	ρ (kg/m³)	c (J/kgK)	Z₁ (-)
	<i>Ext. plaster</i>	0.02	0.90	1800	840	0.712
	<i>Hollow brick</i>	0.12	0.30	800	840	
	<i>Air gap</i>	-	-	-	-	
	<i>Hollow brick</i>	0.08	0.30	800	840	
	<i>Int. plaster</i>	0.02	0.70	1400	840	
Internal walls 	Layers	s (m)	λ (W/mK)	ρ (kg/m³)	c (J/kgK)	Z₁ (-)
	<i>Internal plaster</i>	0.02	0.70	1400	840	0.702
	<i>Hollow brick</i>	0.08	0.30	800	840	
	<i>Internal plaster</i>	0.02	0.70	1400	840	
Floor/Ceiling 	Layers	s (m)	λ (W/mK)	ρ (kg/m³)	c (J/kgK)	Z₁ (-)
	<i>Tiled floor</i>	0.01	1	2300	800	0.650
	<i>Screed</i>	0.05	0.18	600	880	
	<i>Reinf.concrete- hollow brick floor</i>	0.20	0.556	1750	1250	
	<i>Plaster</i>	0.02	0.90	1800	840	

Table 3. 1 - Thermo-physical characteristics of the test room envelope

Two test cases were considered:

- Test 1: unconditioned test room, calculation of the indoor air temperature and the walls internal surface temperatures.

- Test 2: conditioned test room at 26°C set point temperature, calculation of the thermal load.

NOTE: in following diagrams the title reports respectively: “observed variable_location_window surface area and its exposure_reference wall”

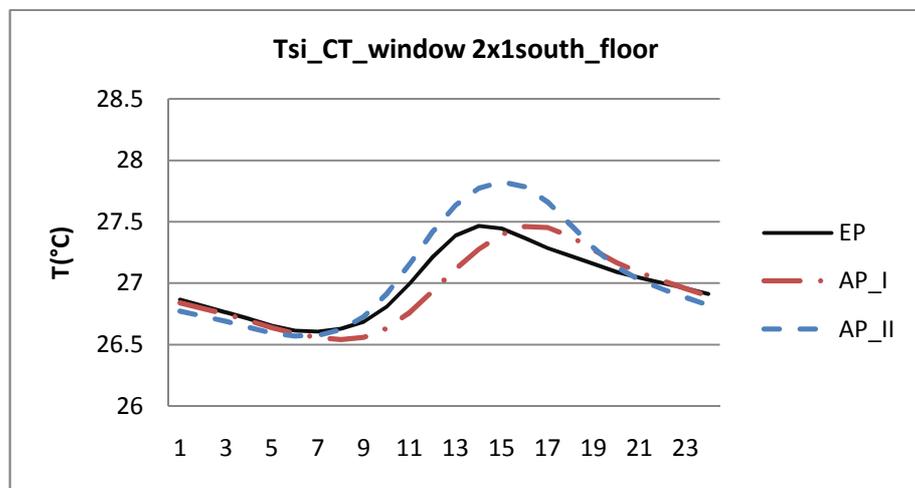


Figure 3. 3 - Test 1. Internal surface temperature (Tsi) of floor for window due South

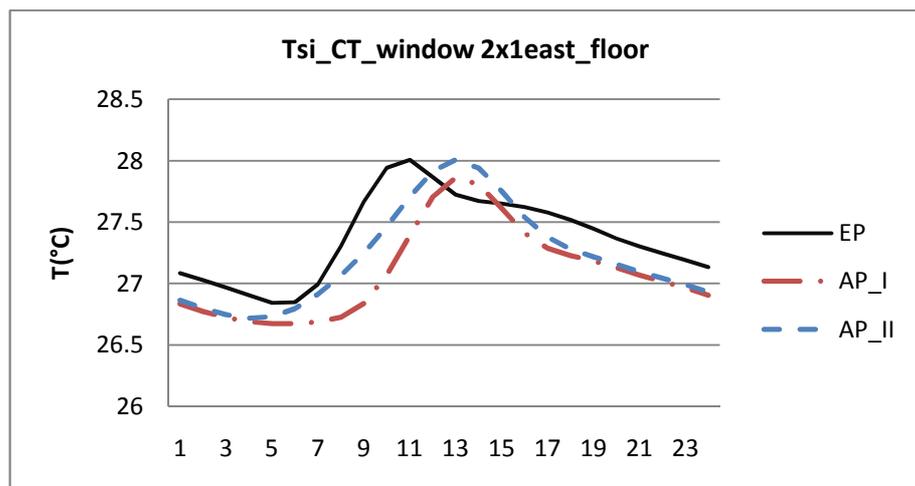


Figure 3. 4 - Test 1. Internal surface temperature (Tsi) of floor for window due East

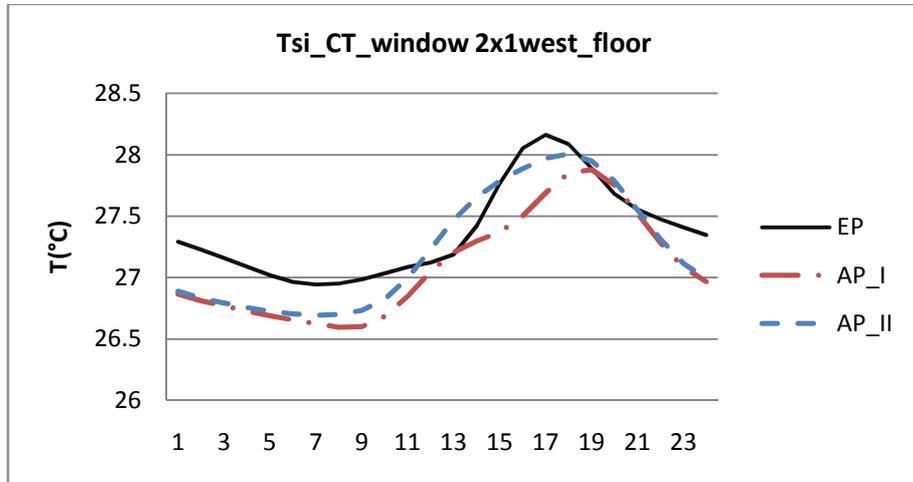


Figure 3. 5 - Test 1. Internal surface temperature (Tsi) of floor for window due West

As we can see from previous figures (Figure 3. 3, Figure 3. 4, Figure 3. 5), the second model gives some overvaluation if compared to the first one: in fact, the floor receives more radiation, because all direct radiation falls on it.

Anyway, the two models provide almost the same results (often identical to the first decimal number) and, if compared to those obtained with Energy plus, they are both quite reliable (with temperature differences less than 1 K).

The second model introduced the distinction between the direct and diffuse radiation as a sophistication of the first model: the results, almost coincident to the first model ones, do not justify this assumption and the choice of the best model could support the characteristic of the simplicity: for this reason, the first mathematical model has been chosen as the most suitable to the research project purposes.

The results of test 1 and 2, for all the exposures, are reported below, in order to compare the Admittance Procedure (AP) first model with Energy Plus (EP).

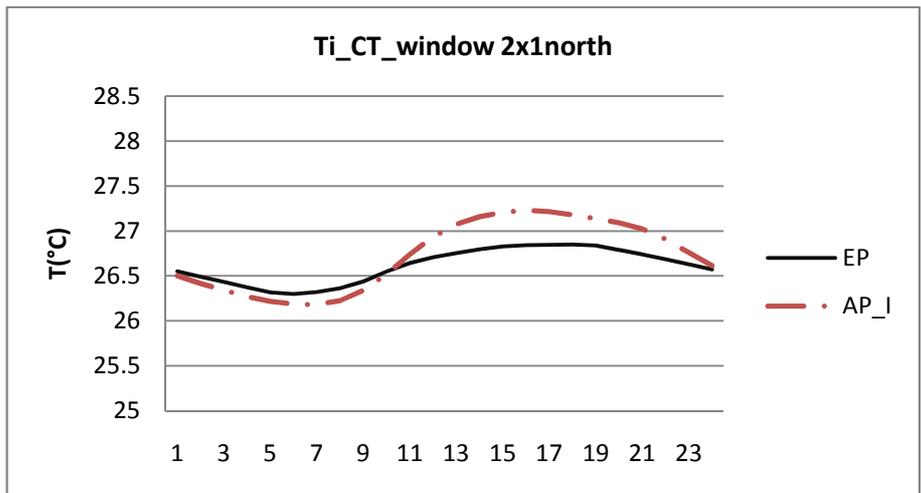


Figure 3. 6 - Test 1. Indoor temperature (Ti) for window due North

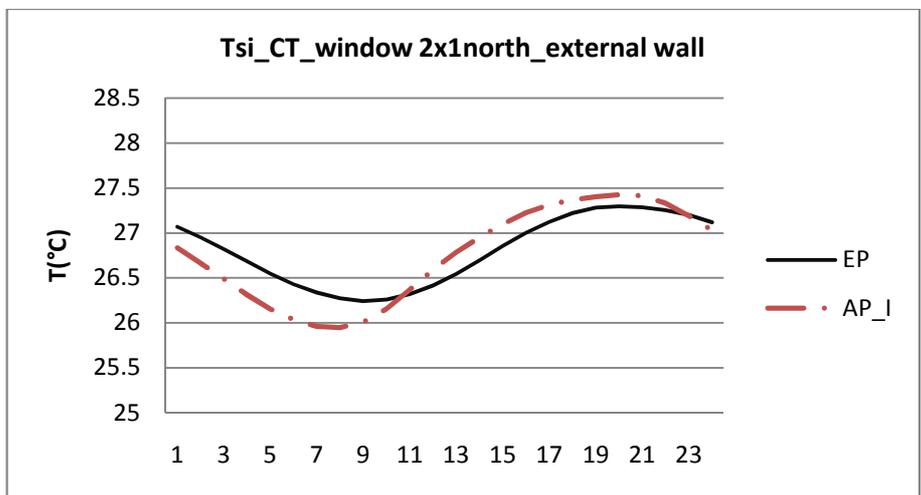


Figure 3. 7 - Test 1. Internal surface temperature (Tsi) of external wall for window due North

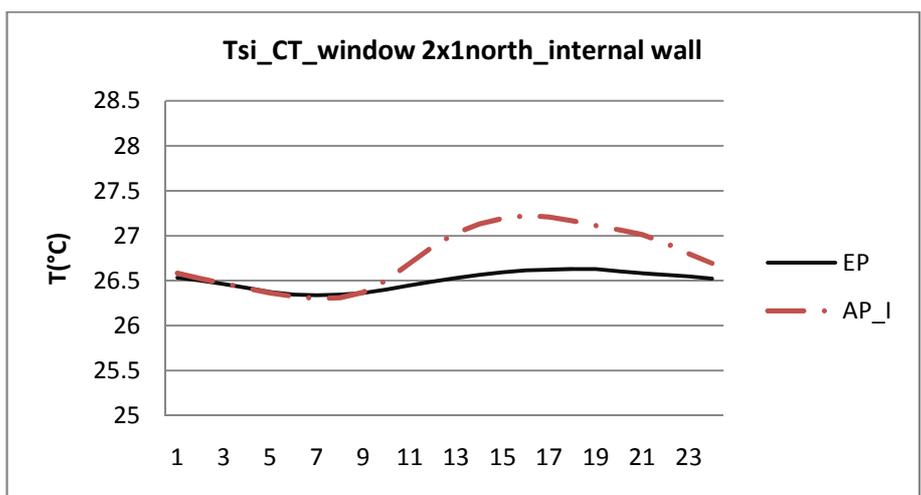


Figure 3. 8 - Test 1. Internal surface temperature (Tsi) of internal wall for window due North

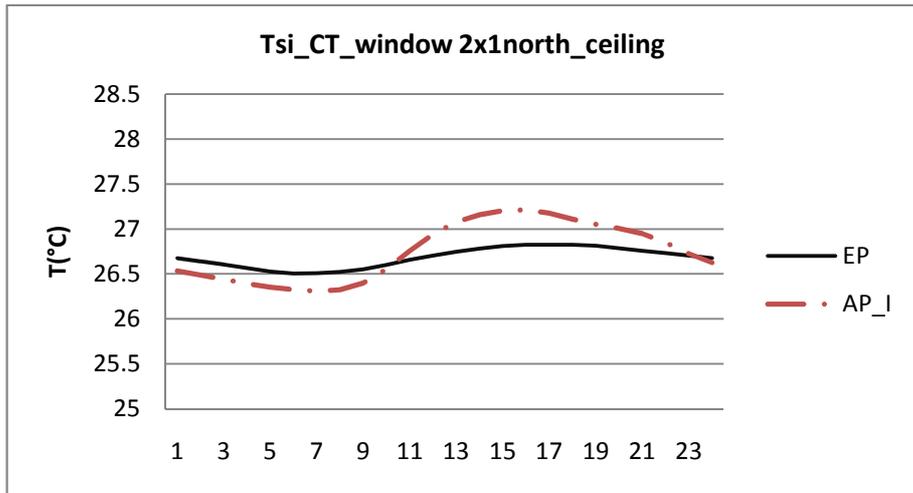


Figure 3. 9 - Test 1. Internal surface temperature (Tsi) of ceiling for window due North

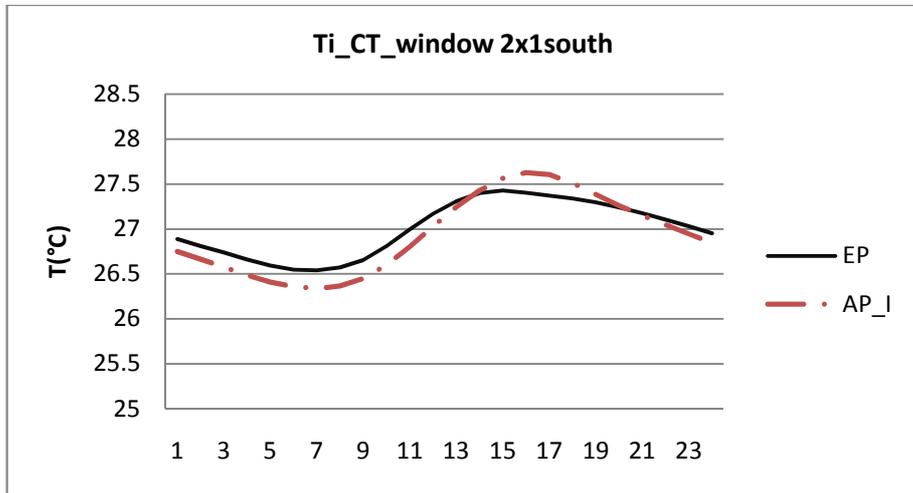


Figure 3. 10 - Test 1. Indoor temperature (Ti) for window due South

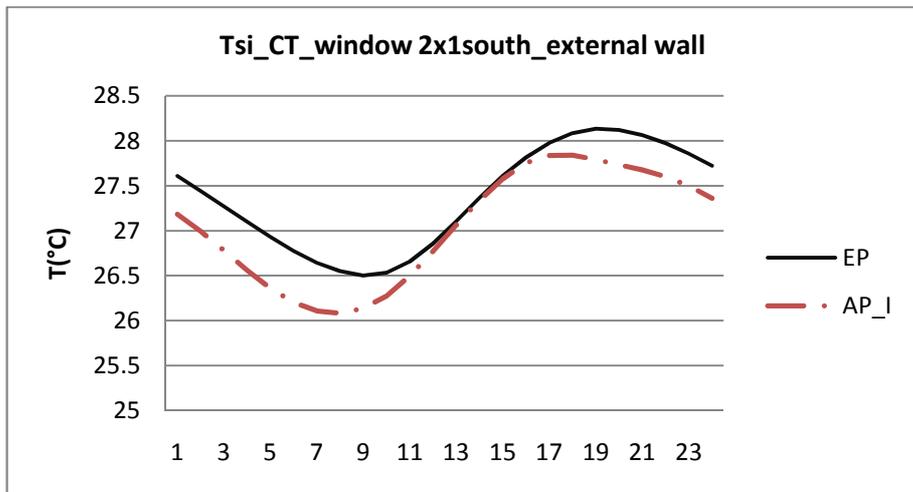


Figure 3. 11 - Test 1. Internal surface temperature (Tsi) of external wall for window due South

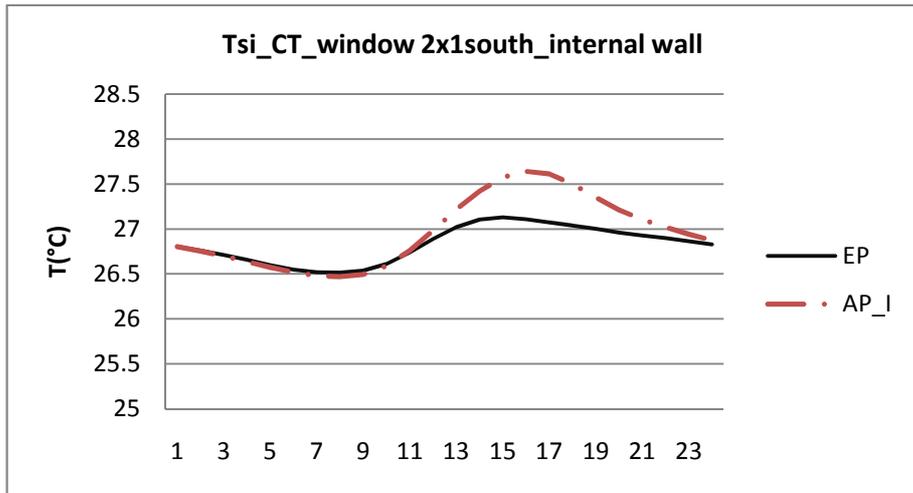


Figure 3. 12 - Test 1. Internal surface temperature (Tsi) of internal wall for window due South

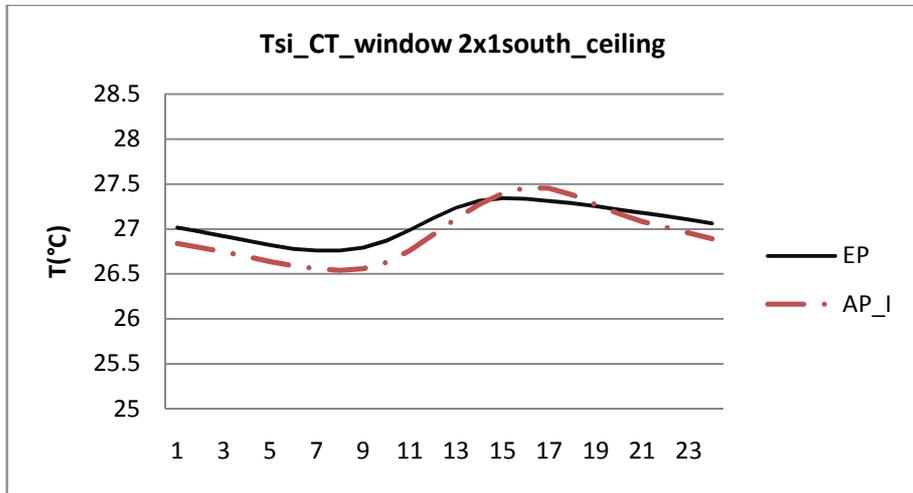


Figure 3. 13 - Test 1. Internal surface temperature (Tsi) of ceiling for window due South

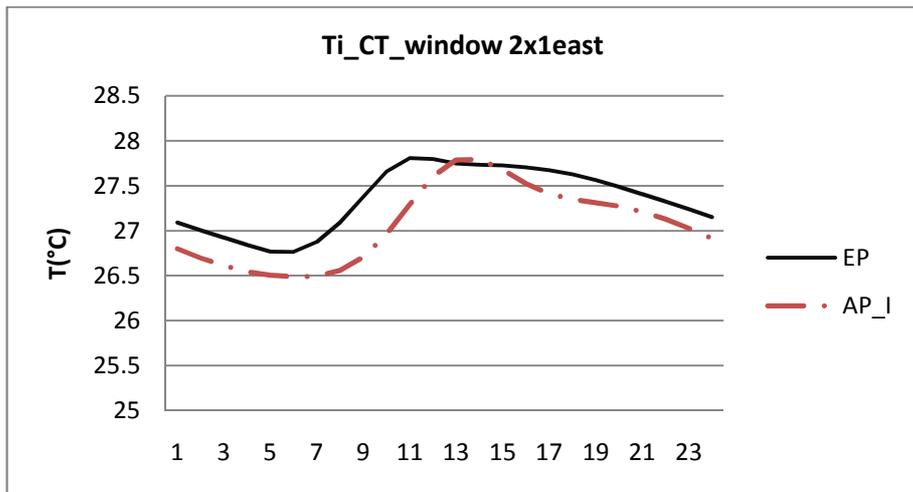


Figure 3. 14 - Test 1. Indoor temperature (Ti) for window due East

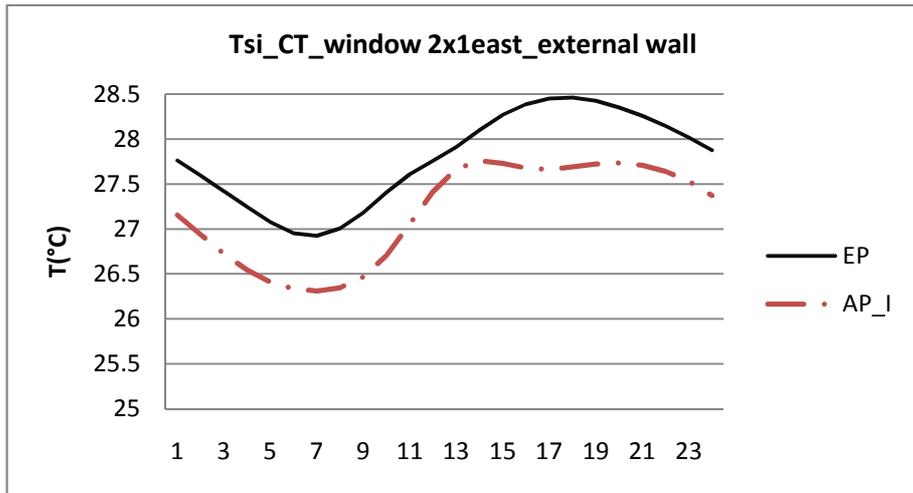


Figure 3. 15 - Test 1. Internal surface temperature (Tsi) of external wall for window due East

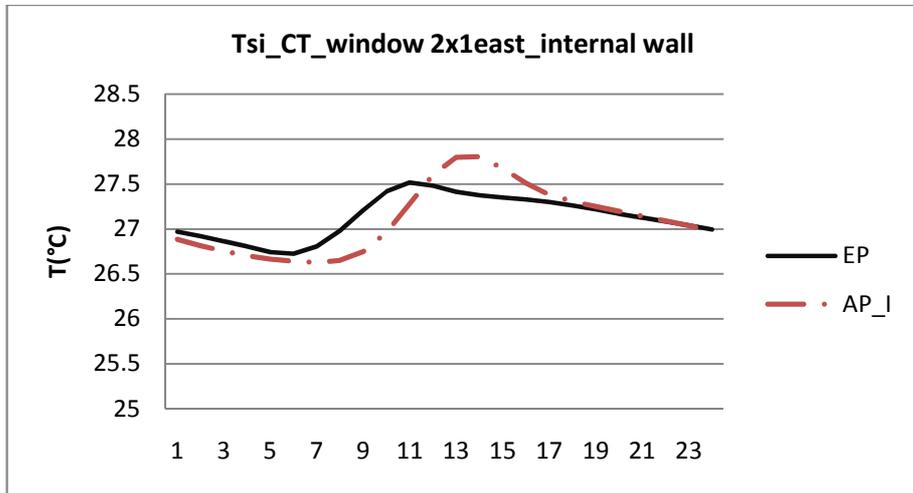


Figure 3. 16 - Test 1. Internal surface temperature (Tsi) of internal wall for window due East

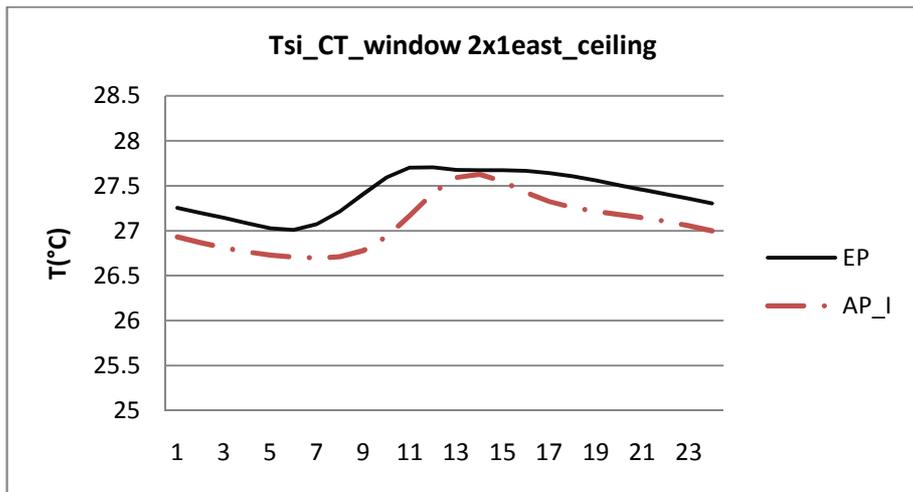


Figure 3. 17 - Test 1. Internal surface temperature (Tsi) of ceiling for window due East

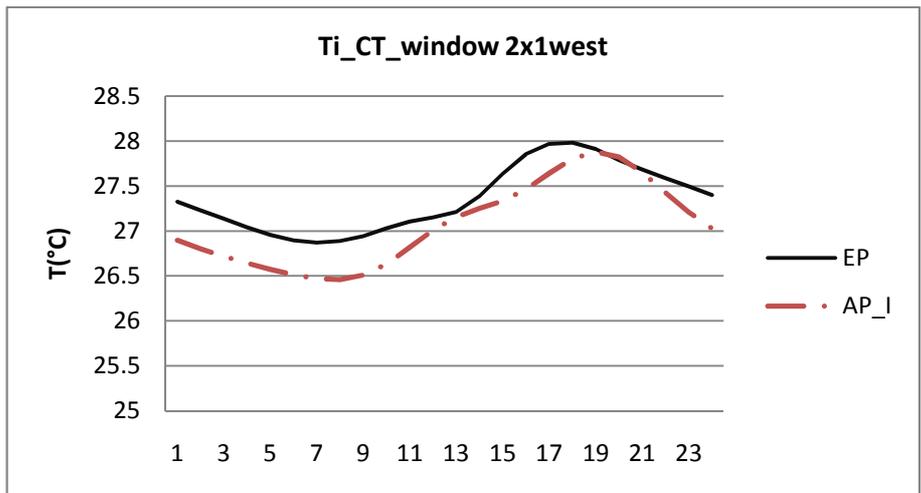


Figure 3. 18 - Test 1. Indoor temperature (Ti) for window due West

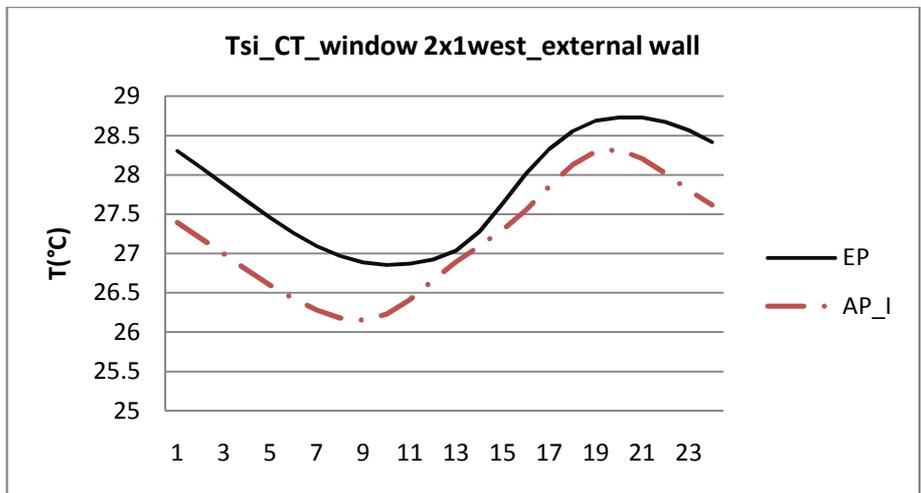


Figure 3. 19 - Test 1. Internal surface temperature (Tsi) of external wall for window due West

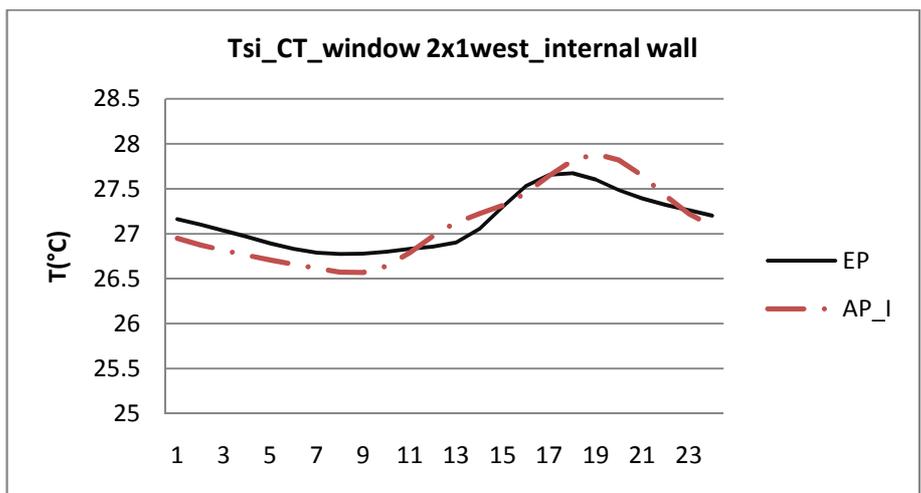


Figure 3. 20 - Test 1. Internal surface temperature (Tsi) of internal wall for window due West

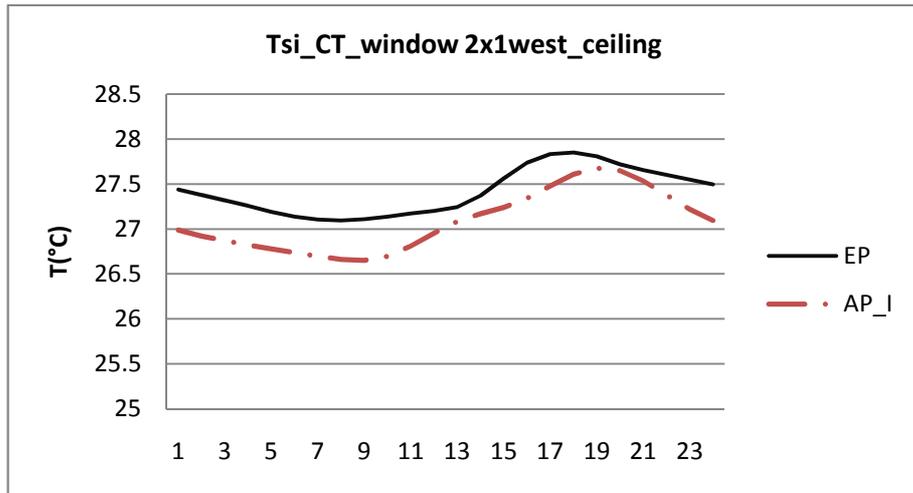


Figure 3. 21 - Test 1. Internal surface temperature (Tsi) of ceiling for window due West

In the following table the comparison between the AP first model with EP is reported:

	AP vs EP			
	Mean($T_i^{EP} - T_i^{AP}$)	Peak($T_i^{EP} - T_i^{AP}$)	Mean($T_{si_e}^{EP} - T_{si_e}^{AP}$)	Mean($T_{si_i}^{EP} - T_{si_i}^{AP}$)
North	-0.14°C	-0.03°C	-0.03°C	-0.07°C
South	-0.06°C	0.21°C	<-0.03°C	<-0.01°C
East	-0.27°C	<-0.01°C	-0.60°C	-0.13°C
West	-0.27°C	-0.09°C	-0.60°C	-0.21°C

Table 3. 2 - Test 1. Comparison between Admittance Procedure and Energy Plus temperatures results

where

Mean($T_i^{EP} - T_i^{AP}$) is the indoor temperature mean difference,

Peak($T_i^{EP} - T_i^{AP}$) is the indoor peak temperature difference,

Mean($T_{si_e}^{EP} - T_{si_e}^{AP}$) is the external wall surface temperature mean difference,

Mean($T_{si_i}^{EP} - T_{si_i}^{AP}$) is the internal wall surface temperature mean difference.

As it can be seen from the table, the AP model, despite its simplicity, gives very reliable results.

It is to notice that the AP model generally shows a time lag of 1-2 hours as compared to Energy Plus.

4. Classification of the Italian building typologies

4.1 Operative formula for the room solar gain response factor

In the paragraph 3.1, the transfer function for solar radiation has been defined, and it has been called solar gain response factor.

In particular, for the single component the response factor F is:

$$F_k(n) = A_w \cdot g_s^\perp \cdot SC \cdot Fr \cdot F_{si} \frac{1}{(1 - \rho_m) A_{tot}} \alpha_k Z_k(n) \quad 4.1$$

So, the operative formula for the solar gain response factor for the whole room is given by:

$$F(n) = \frac{\sum_k A_k \cdot F_k(n)}{\sum_k A_k} \quad 4.2$$

where $k=1,2..6$ (includes walls, ceiling and floor).

$F(n)$ factor accounts for the incident solar radiation converted into positive load for the enclosure.

In this way, it is possible to evaluate numerically the capability of a room to store or release radiant thermal flows incident on internal surfaces, depending on the building typology (Surface Factor Z), and geometrical and optical characteristics (window surface, presence of obstructions, glass typology, solar absorptance of finishes).

On this basis, it is possible to set up a classification of buildings, that is what we are dealing with in the following.

4.2 Calculation of the solar gain response factor for walls

The research project is finalized to a classification of Italian building stock according to the solar gain response factor.

As known, in Italy there are many building typologies, which present several structures depending on the technological knowledge of their construction time and on the region they are located in.

The UNI TS 11300-1 of 2008, in the appendix B, proposes an abacus of Italian building wall typologies, including their thermo-physical characteristics, time and geographical diffusion.

All those wall typologies have been considered to calculate the solar gain response factor.

To this aim the reference room considered for this analysis had the following features:

- Room size: $5 \times 5 \times 3 \text{ m}^3$
- Climatic conditions and location: 21st July in Catania
- Window exposure: South
- Boundary conditions: only one external wall (other walls border on conditioned rooms),
- Window area: from 1 to 15 m^2 , $U_w = 2.8 \text{ W/m}^2\text{K}$, $SC = 0.86$, $F = 0.88$, $g_s^\perp = 0.876$
- Walls, floor and ceiling solar absorptance: $\alpha_k = 0.3$
- Absence of internal and external obstructions ($F_{si} = F_{se} = 1$)

As to the ceiling/floor, only one typology was considered (floor_ita6", see the abacus in the appendix) to make the comparison between walls, independent from the horizontal components.

First, let us see the different behaviour of the external and internal walls of the reference room towards solar radiation.

The Figure 4. 1 presents F value as a function of A_w/A_{tot} , for all wall typologies, as external walls, being A_w the window surface area and A_{tot} the total envelope surface area.

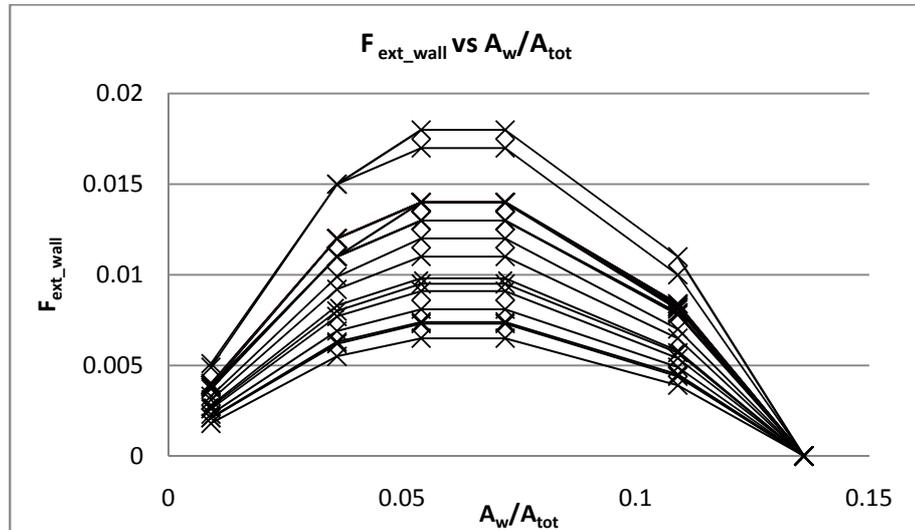


Figure 4. 1 - Response factor F vs A_w/A_{tot} for all wall typologies mentioned in the abacus of the UNI-TS 11300/1, as external walls

It can be seen that the increase of the window area brings more solar radiation into the room, so it causes F to increase. But, this trend stops at a certain A_w/A_{tot} value, because of the decrease of the wall surface area and thus of the wall mass.

The Figure 4. 2 shows F value, for all wall typologies, as internal walls, while increasing window area.

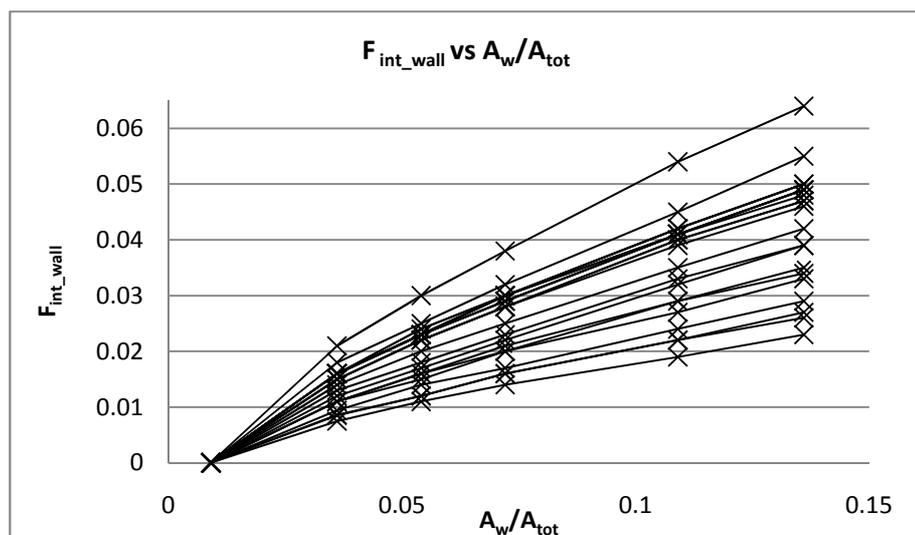


Figure 4. 2 - Response factor F vs A_w/A_{tot} for all wall typologies mentioned in the abacus of the UNI-TS 11300/1, as internal walls

In this case, contrary to external walls, F increases continuously with the window area, because the wall mass is fixed, while the entering radiation is increasing.

4.3 Calculation of the solar gain response factor for a room as a function of wall typologies

Now, let us analyze the whole room solar gain response factor, calculated for the reference room considered in the previous paragraph, that is changing only the vertical walls (with reference to the abacus in the appendix) and maintaining only one ceiling/floor structure ("floor_ita6", see the abacus in the appendix).

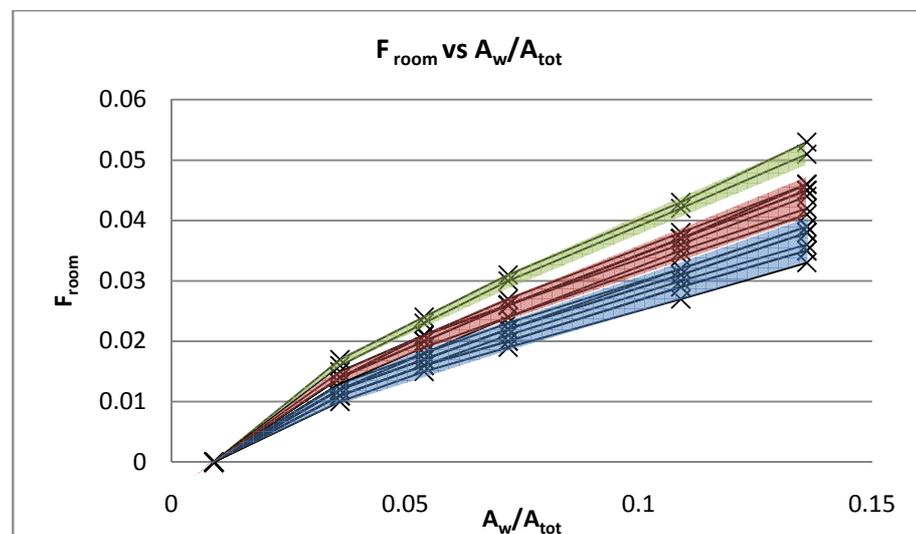


Figure 4. 3 - Response factor F vs A_w/A_{tot} for the reference room having all wall typologies mentioned in the abacus of the UNI-TS 11300/1 as vertical walls

The diagram in Figure 4. 3 presents the F value calculated for the whole room as a function of A_w/A_{tot} ; as expected, the room solar gain response factor increases with the window surface area, because of the increasing entering solar radiation; besides, the lines envelope suggests a first classification: infact all walls

which have similar structure (i.e. hollow brick, insulation panel...) present very similar F factors. As a result they could be collected into three classes, depending on the solar gain response factor as a high, medium or low value (green, red and blue regions in the figure).

Precisely, the following figures report the F values for the three classes, in which the black lines indicate the border line values as the representative wall typologies of the each class:

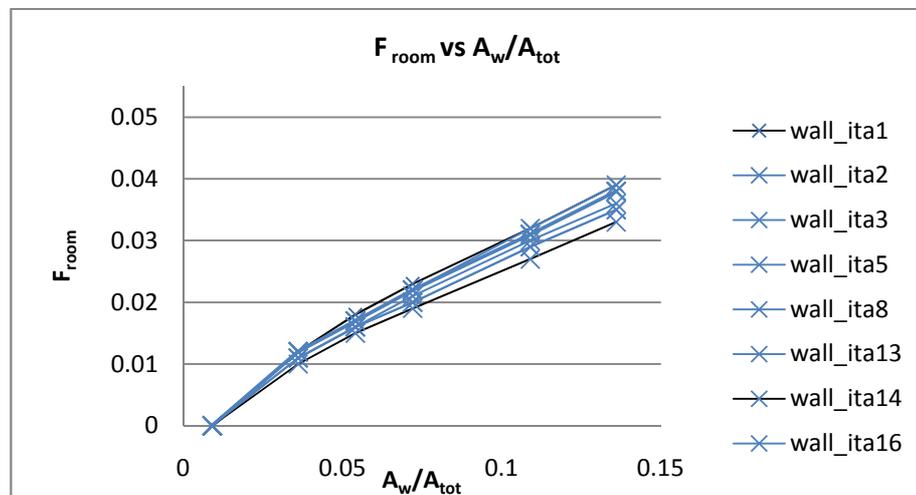


Figure 4. 4 - Response factor F vs A_w/A_{tot} for the room with low F values

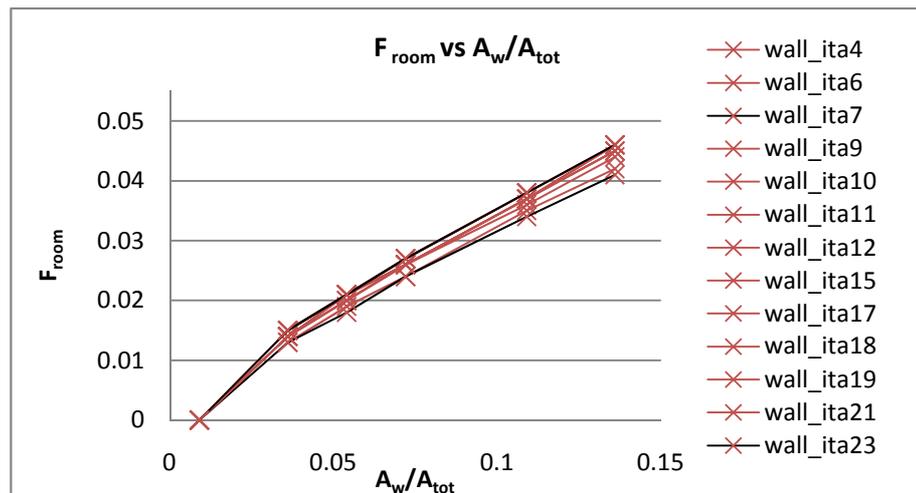


Figure 4. 5 - Response factor F vs A_w/A_{tot} for the room with medium F value

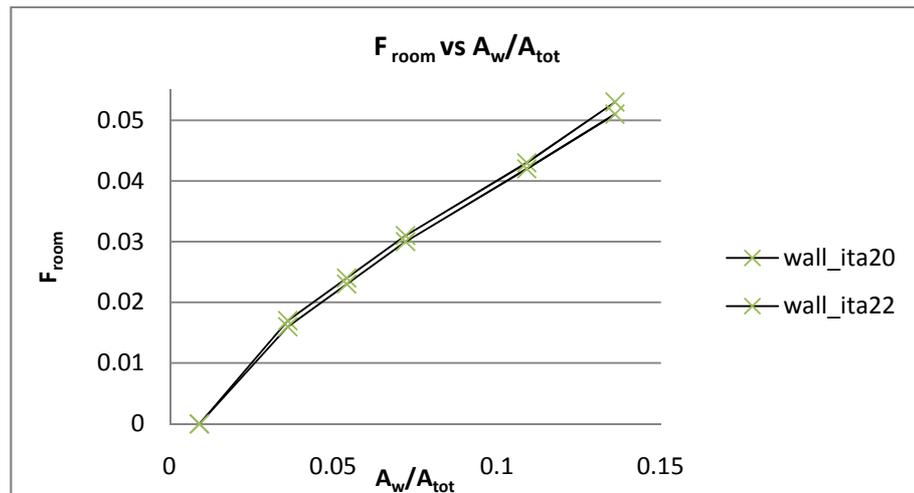


Figure 4. 6 - Response factor F vs A_w/A_{tot} for the room with high F value

Let us notice that the value of the solar gain response factor F is related to the wall heat capacity, with higher F values as lower is the wall internal heat capacity.

So the three classes reflects also a classification of the walls into heavy (low F values), medium and light (high F values) typologies.

Even if F absolute values are very low, we can observe as F values for the light walls are almost two times higher than for the heavy ones.

Generally, all F values are very low (1-5%); it means that only a very low fraction of the solar radiation goes to heat up the room: this remark can be overcome calculating the wall response as a thermal flux, and observing how high is the envelope contribution in the realization of the cooling thermal load.

The time shift of the solar gain response factor F has been calculated (with reference to the operative formula seen in the paragraph 3.2) for all room typologies, considering a 4 m² window due South.

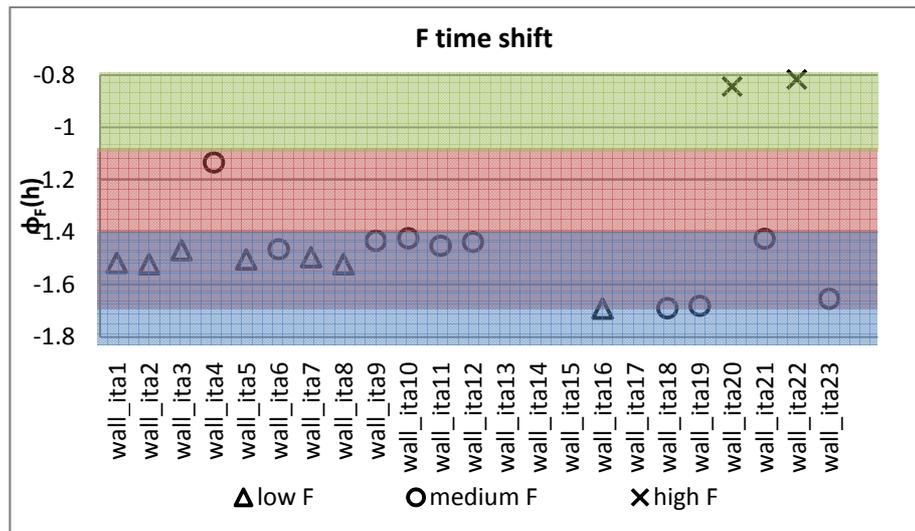


Figure 4. 7 - F time shift for all room typologies with 4 m² window due South

As we can see from Figure 4. 7, the F time shift reflects the trend of the solar gain response factor with a thermal flux faster reflected (high F values) as greater is the wall thermal reflectance (and less is the wall internal capacity, Figure 4. 8).

In particular, the walls with low F values show a time lag of 1.4-1.8 h, the walls with medium F values have a time lag of 1.2-1.6 h and the walls with high F values have a time lag less than 1.2 h.

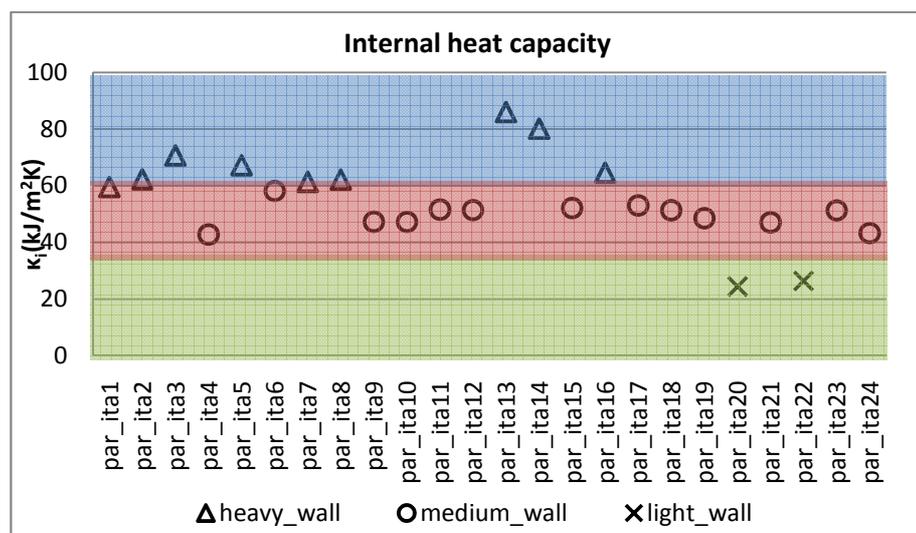


Figure 4. 8 - Internal heat capacity of all wall typologies

4.4 Italian real estate

In the previous paragraph, a first classification of building typologies has been made by maintaining fixed ceiling and floor structures, in order to focus on walls influence to the whole room response, independently of the horizontal components: in this way, three representative classes for all room typologies have been found, based essentially on the walls thermal mass.

But existing buildings present several ceiling and floor structures: so, it becomes necessary to analyze Italian real estate in order to create a reference abacus of building typologies and to provide a classification based on the solar gain response factors, that is the aim of this research project.

Generally, existing buildings can be classified depending on the construction year, because of different constructive methods during the centuries.

Until 1930-40, buildings were made in stones or bricks, depending of the available raw materials in place.

Then, with the reinforced concrete diffusion, they were realized more quickly and cheaply.

Reinforced concrete structures with hollow bricks walls became the most diffused, up to our days.

Now, only some modern buildings (especially belonging to the tertiary sector) present light walls, with great transparent surfaces, and assembled prefabricated components.

So, we can distinguish between stone-built or bricks walls, until about 1940, concrete structures with hollow bricks walls later, and prefabricated concrete walls or sandwich panels in the last 30-40 years.

As regards the floors, ancient buildings adopt wooden horizontal structures or stone (or brick) vaults with lightened filling material.

Many buildings presented also false vaults (cheaper than stone ones), made of a coat of thin canes, lime and plaster and the cover of stucco, while the structural part was generally a wooden floor.

With steel diffusion at the end of XVII century, the floors could be realized as steel beams with hollow flat bricks as support plane.

Finally, the coming of reinforced concrete marked the total substitution of the previous floors with new ones made by reinforced concrete as structural component (beams and collaborating slab) and hollow bricks as filling material.

Nowadays, the reinforced concrete is used also in the restoration of old wooden floors, through the introduction of a collaborating reinforced concrete slab, joined by steel connectors to the underlying wooden beams.

There were some differences in roofing and ground floors: the first ones were generally realized as intermediate floors, with a trussed ceiling and a roofing-tiles coat, while the second ones were made differently, as a simple levelling filling material on which there was laid the tile, or a structural floor as described below, laid or raised upon the floor foundation.

From the thermo-physical point of view, these floors can be treated as the intermediate floors: the roofing floors have some adding resistance – negligible – due to the roofing-tile, while the ground floors can be considered with the same structure of the intermediate ones.

New floors are made by prefabricated elements, both structural and not, but they present similar thermo-physical features as the floors poured in place, so they can be equally treated.

As regards partitions, they were built as external walls, with less thickness: generally, they could be stone or brick partitions in ancient buildings, and hollow brick or sandwich panels in more recent buildings.

In Italy, there are more than 26 million of buildings, whose 88% are residential units; The 40% was built before 1960, year of the reinforced concrete boom, so the Italian buildings present quite a large variety of constructive typologies, equally distributed as ancient and modern technologies and materials.

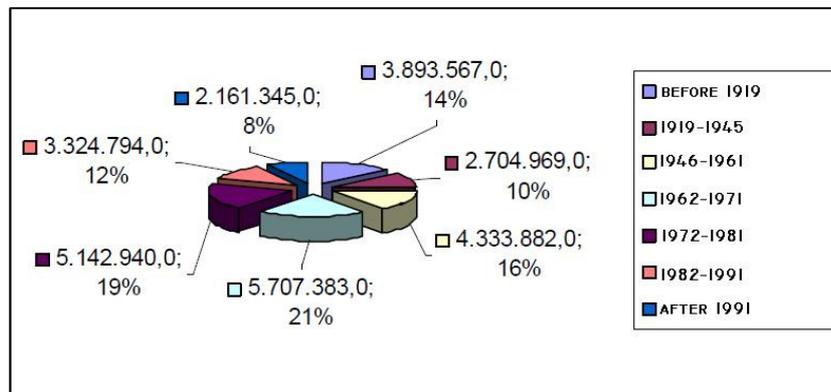


Figure 4. 9 - Age of the Italian real estate

4.5 Definition of the room models for simulations and classification of the Italian building typologies

Now, it is possible to create a building abacus, as reference point for the following simulations, in order to calculate the solar gain response factor for the existing Italian building typologies. For simplicity, we can distinguish among:

- Ancient buildings (before 1950-60) with stone, or brick walls, and wooden floors, or stone or bricks vaults, or also steel beams with hollow flat bricks floors or also wooden-concrete floors (as structural restoration option), all without any insulation layer;

- Recent buildings (from 1960 to 1980-90) with a reinforced concrete structure and hollow bricks walls, or concrete hollow blocks walls or also half solid brick walls, generally without insulation or with a insulation layer in the air gap, and concrete-hollow bricks floors, usually without insulation.

- New/restored buildings (after 1990, in particular after energy law 10/91) with a reinforced concrete structure and hollow bricks walls, or sandwich panels walls and concrete-hollow bricks floors, all with insulation. This category includes restored walls, that is walls of previous categories with an insulation layer in the internal or the external face or in the air gap.

All these building typologies can be composed by associating floor structures to walls, referring to the abacus in the appendix, in this way:

Construction time	Floor (ceiling) typology	Wall typology
Ancient buildings (before 1950-60)	floor_ita1	wall_ita1, wall_ita2, wall_ita3, wall_ita5, wall_ita8, wall_ita13, wall_ita14, wall_ita16
	floor_ita2	
	floor_ita3	
	floor_ita4	
	floor_ita5	
Recent buildings (from 1960 to 1980-90)	floor_ita6	wall_ita4, wall_ita6, wall_ita7, wall_ita9, wall_ita10, wall_ita11, wall_ita12, wall_ita16, wall_ita17, wall_ita18, wall_ita19, wall_ita23
New/restored buildings (after 1990)	floor_ita7	wall_ita15, wall_ita17, wall_ita18, wall_ita19, wall_ita20, wall_ita21,
	floor_ita8	wall_ita22, wall_ita23

Table 4. 1 - Italian existing building typologies

The classification made in the paragraph 4.2, which allowed to organize walls into the three F classes, now helps to define some room models for the Italian existing building typologies (associated to the three building categories “ancient”, “recent” and “new”) by combining the floor typologies to the representative walls of each class.

Referring only to these walls, it has been possible to reduce simulation cases.

The representative walls are (see again the Figure 4. 4, Figure 4. 5, Figure 4. 6): wall_ita_14 and wall_ita1 for the low F class, wall_ita_7 and wall_ita23 for the medium F class and wall_ita_22 and wall_ita23 for the high F class (this last wall typology has been considered instead of the wall_ita20, because the wall_ita23 structure is present both in recent and in new buildings and present lower F values than the wall_ita20).

So, sixteen room models have been simulated:

Room model	Floor (ceiling) typology	Wall typology
Room_1	floor_ita1	wall_ita1
Room_2		wall_ita14
Room_3	floor_ita2	wall_ita1
Room_4		wall_ita14
Room_5	floor_ita3	wall_ita1
Room_6		wall_ita14
Room_7	floor_ita4	wall_ita1
Room_8		wall_ita14
Room_9	floor_ita5	wall_ita1
Room_10		wall_ita14
Room_11	floor_ita6	wall_ita7
Room_12		wall_ita23
Room_13	floor_ita7	wall_ita23
Room_14		wall_ita22
Room_15	floor_ita8	wall_ita23
Room_16		wall_ita22

Table 4. 2 - Definition of room models for simulations, as representative of Italian building typologies

Simulation conditions are:

- Room size: 5x5x3 m³
- Climatic conditions and location: 21st July in Catania
- Window exposure: South
- Boundary conditions: only one external wall (other walls border on conditioned rooms)

- Window area: from 1 to 15 m², $U_w = 2.8 \text{ W/m}^2\text{K}$, $SC = 0.86$, $F = 0.88$, $g_s^\perp = 0.876$
- Walls, floor and ceiling solar absorptance: $\alpha_k = 0.3$
- Absence of internal and external obstructions ($F_{si} = F_{se} = 1$)

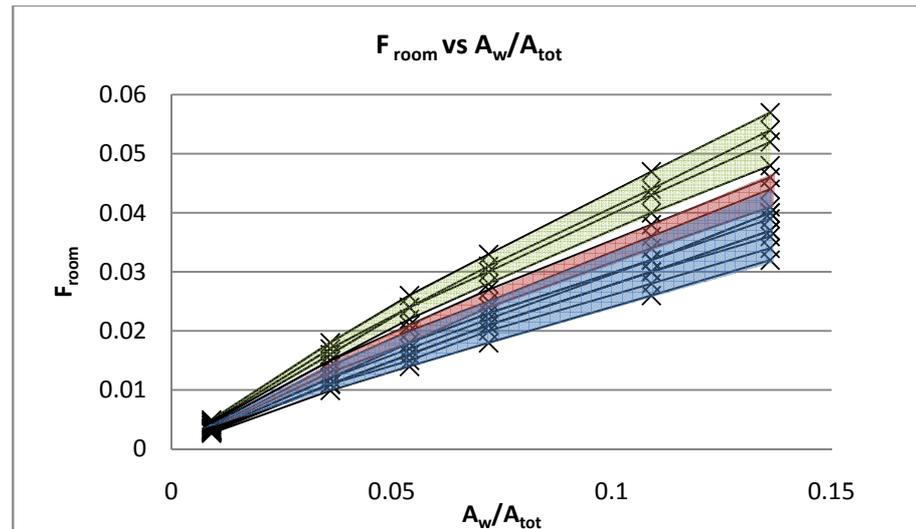


Figure 4. 10 - Response factor F vs A_w/A_{tot} for the whole room

The Figure 4. 10 reports the solar gain response factor calculated for all room models.

Similarly as seen for the classification of a room as a function of walls structure (in the paragraph 4.2), the defined Italian building typologies can be collected into three classes, identified by the blue, red and green regions, depending on their solar gain response factor F , as a low, medium or high value, that is as a result of the “weight” of the solar gain response factor of walls, floor and ceiling.

Besides, we can notice that the F classification nearly coincides with the distinction based on the construction time reported in the Table 4. 1: this can be explained by the fact that ancient building typologies are characterized by high thermal mass, that is low F values, while recent buildings are lighter, so they present higher F values.

In particular, the blue region (Figure 4. 11) includes rooms (from room_1 to room_10) composed by walls with low F values (stone or brick walls) and floors (ceilings) with low and medium F values (stone or brick vaults, wooden floors, etc.); the red region (Figure 4. 12) includes rooms (room_11, room_12) composed by walls and floors (ceilings) with medium F values (hollow bricks walls and not insulated floors-ceilings); finally, the green region (Figure 4. 13) includes rooms (room_13, room_14, room_15, room_16) composed by walls and floors (ceilings) with high F values (insulation panels as walls and insulated floors-ceilings).

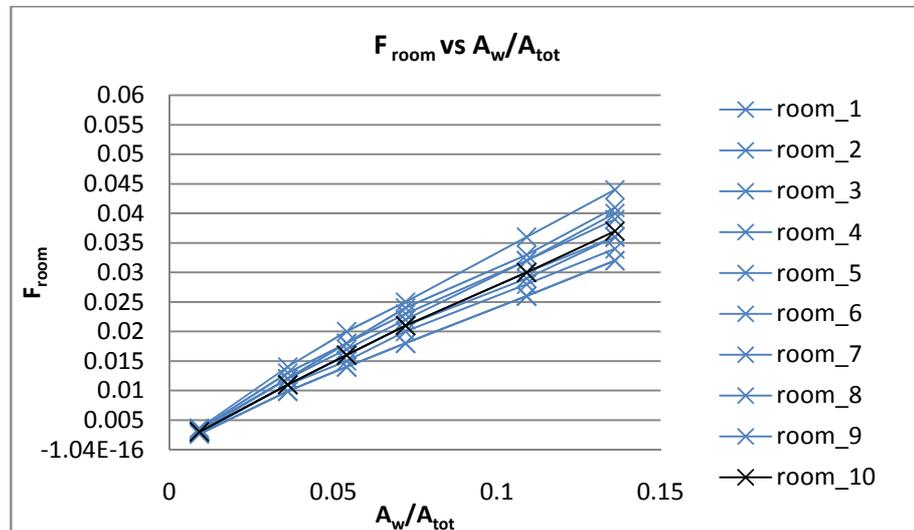


Figure 4. 11 - Response factor F vs A_w/A_{tot} for the room with low F values

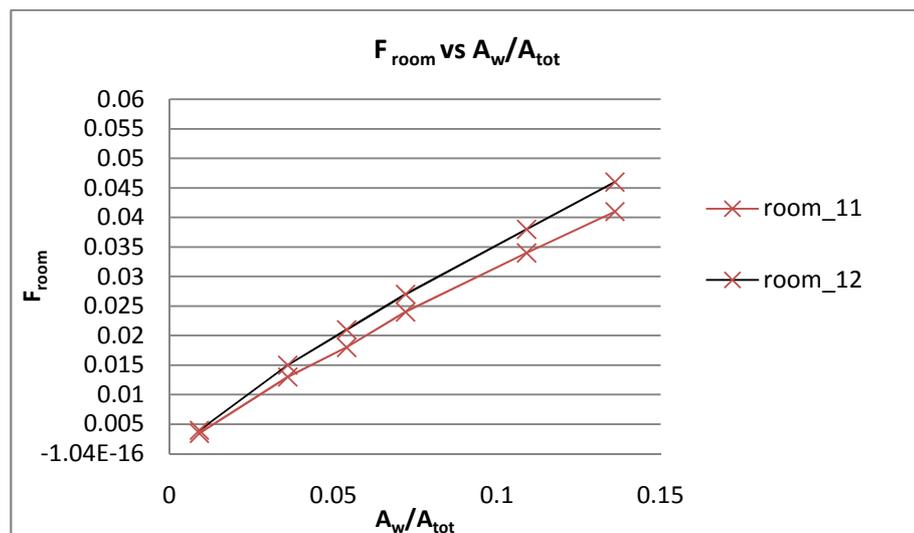


Figure 4. 12 - Response factor F vs A_w/A_{tot} for the room with medium F values

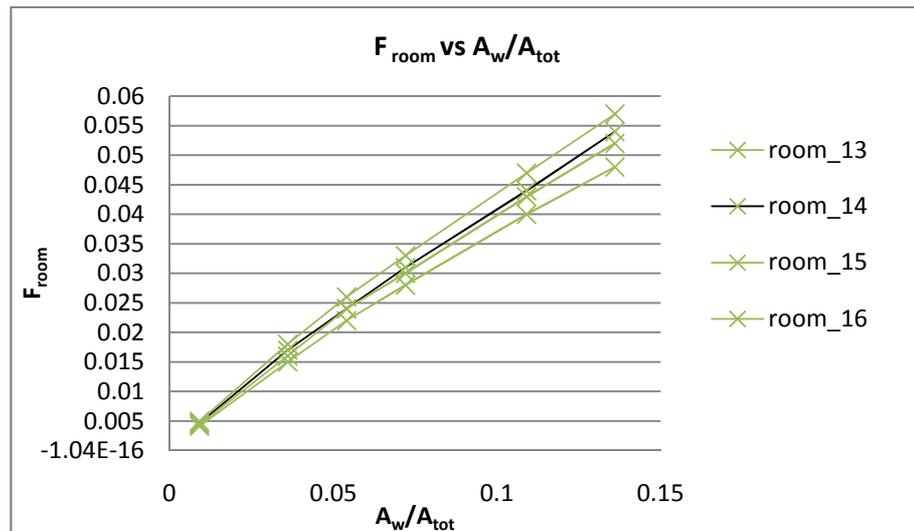


Figure 4. 13 - Response factor F vs A_w/A_{tot} for the room with high F values

Let us notice that, in the figures Figure 4. 11, Figure 4. 12 and Figure 4. 13, the black lines indicate the room which can be considered as representative of each class: the room_10 corresponds on the average to the low F value class, the room_12 to the medium F value class, and the room_14 to the high F value class.

To conclude, we can observe that the wall structure prevails over the floor and ceiling structure in the determination of the room F value: there is only a little partial superimposition between the red and blue regions, due to the fact that some rooms (i.e. room_5) are composed by walls presenting low F values and floors (and ceilings) with high F values, so the global solar gain response factor increases, being closer to F values of room composed by walls and floors with medium F values (i.e. room_11, room_12).

The time shift of the solar gain response factor F has been calculated for all room models, considering a 4 m^2 window due South.

As we can see from the Figure 4. 14, generally the F time shift reflects the trend of the solar gain response factor, with lower time shift for walls with high F values (light thermal mass typologies) and higher time shift for walls with low F values (heavy thermal mass typologies); we can observe that the green and blue regions (defined according the rooms classification based on F values) are superimposed and the red one (intermediate F time shift values) could not be

considered anymore; this is because, in this case, the floor and ceiling structures have a greater influence on the F time shift: for example, the room_5 and the room_9, which have low F values, because of their heavy walls (in stone), present also a not very high time shift (≈ -1.3 h) because of their very light floors (in wood).

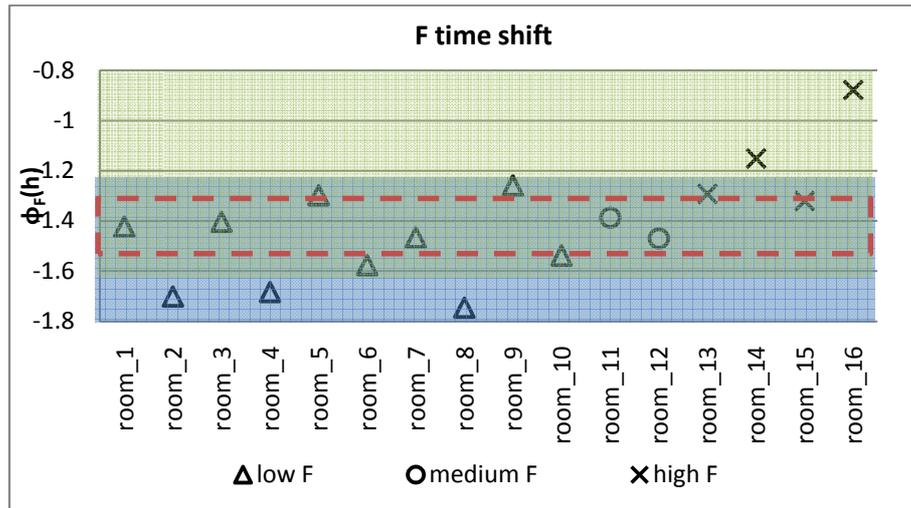


Figure 4. 14 - F time shift for all room typologies with 4 m² window due South

Now, all possible existing Italian buildings (Table 4. 1) can be classified inside the three classes reported in the figures Figure 4. 11, Figure 4. 12 and Figure 4. 13: for example, considering previous simulation conditions and a test room with a 4 m² window due South, the classification as a function of the solar gain response factor is:

Floor (ceiling) typology	Wall typology	F (-)	ϕ_F (h)
floor_ita1	wall_ita1, wall_ita2, wall_ita3, wall_ita5, wall_ita8, wall_ita13, wall_ita14, wall_ita16	0.0099 < F < 0.014	-1.70 < ϕ_F < -1.26
floor_ita2			
floor_ita3			
floor_ita4			
floor_ita5			
floor_ita6	wall_ita4, wall_ita6, wall_ita7, wall_ita9, wall_ita10, wall_ita11, wall_ita12, wall_ita16, wall_ita17, wall_ita18, wall_ita19, wall_ita23	0.013 < F < 0.015	-1.47 < ϕ_F < -1.38
floor_ita7	wall_ita15, wall_ita17, wall_ita18, wall_ita19, wall_ita20, wall_ita21, wall_ita22, wall_ita23	0.015 < F < 0.018	-0.88 < ϕ_F < -1.29
floor_ita8			

Table 4. 3 - Response factor F and its time shift for all room typologies with 4 m² window

due South

Conclusions

In conclusion, this research project allowed the definition of a solar gain response factor, which could link the input (the driving force) to the output (the thermal load), evaluating the radiant thermal fluxes released to the ambient.

In this way, it was possible to classify a large repertory of the most common existing Italian building typologies, underlining the F variation with the thermo-physical and geometrical properties of the materials.

In particular, all the building typologies which showed similar structure (i.e. hollow brick, insulation panel...) presented very similar F factors: as a result, they were collected into three classes, depending on the solar gain response factor as a high, medium or low value.

Generally, all calculated F values were very low (1-5%): it means that only a very low fraction of the solar radiation goes to heat up the room; this remark can be overcome calculating the wall response as a thermal flux, and observing how high is the envelope contribution in the realization of the cooling thermal load.

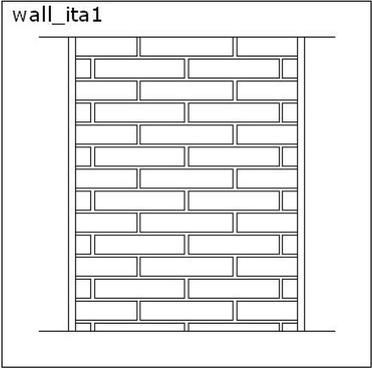
We also observed that the value of the solar gain response factor F was related to the wall heat capacity, with higher F values as lower was the wall internal heat capacity; so, the above three classes reflected a classification of the walls into heavy (low F values), medium and light (high F values) typologies: in particular, we found that the F values for the light walls were almost two times higher than for the heavy ones.

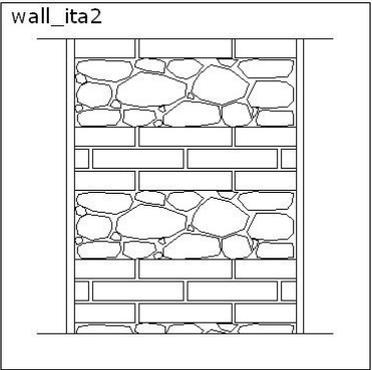
As regards the F time shift, it reproduced the trend of the solar gain response factor with a thermal flux faster reflected (high F values) as greater was the wall thermal reflectance (and less was the wall internal capacity).

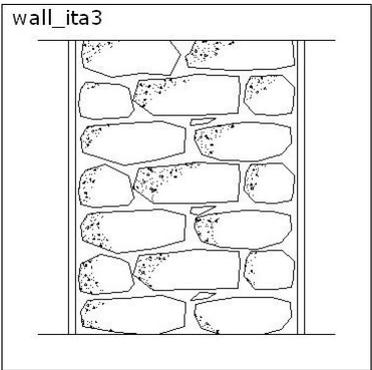
What is the practical use of the solar gain response factor?

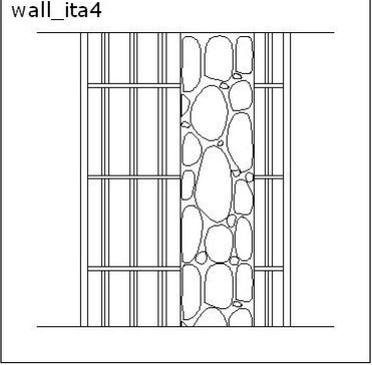
The calculation of the solar gain response factor can be a useful and simple tool for the design and the assessment of the buildings energy performance; as we know, the F factor is a function of many parameters: by changing the window surface, or the shading coefficient, or the reduction coefficient due to the window frame, or also the reduction coefficient due to external/internal shadings, the room mean reflectance, the solar absorptance, or the Surface factor, we are able to reproduce many building configurations and to evaluate both their actual state and all possible restoration solutions to ameliorate their energy performance, such as the introduction of multi-pane windows, solar shields, etc.

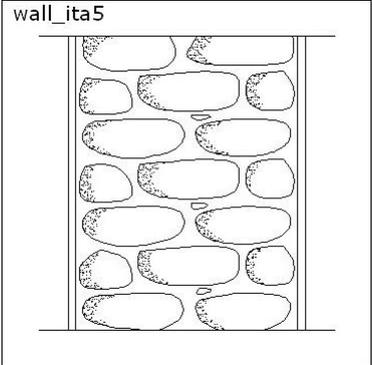
Appendix

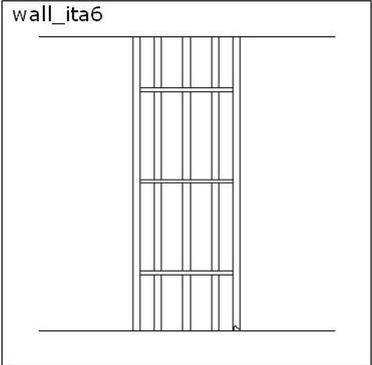
 wall_ita1	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.51, -1.517
	Solid brick	0.60	0.72	1800	840	
	Internal plaster	0.02	0.70	1400	840	

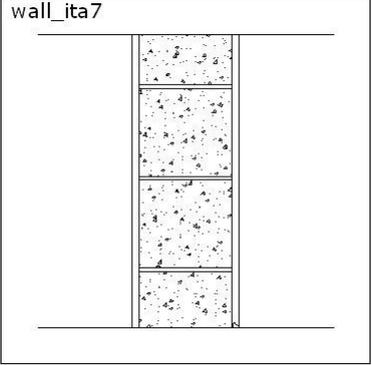
 wall_ita2	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.476, -1.522
	Solid brick/Stones	0.60	0.90	2000	840	
	Internal plaster	0.02	0.70	1400	840	

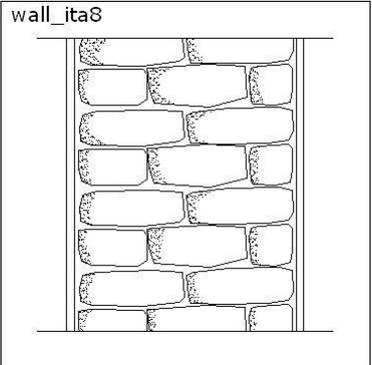
 wall_ita3	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.388, -1.469
	Lava	0.60	2	2300	840	
	Internal plaster	0.02	0.70	1400	840	

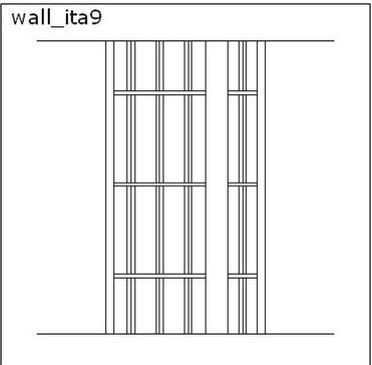
 wall_ita4	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.675, -1.134
	Perforated brick	0.25	0.30	800	840	
	Tufa pebbles weakly bound	0.20	0.70	1500	1300	
	Perforated brick	0.08	0.30	800	840	
	Internal plaster	0.02	0.70	1400	840	

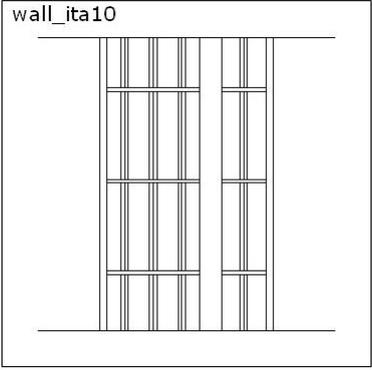
 wall_ita5	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.423, -1.504
	Limestone	0.60	1.50	1900	920	
	Internal plaster	0.02	0.70	1400	840	

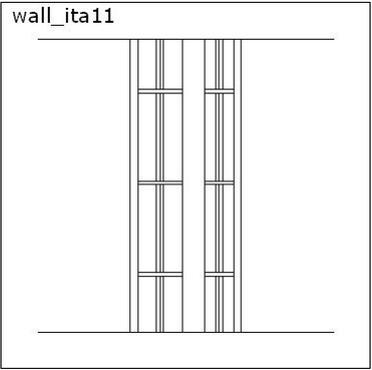
 wall_ita6	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.608, -1.465
	Half solid brick	0.25	0.43	1200	840	
	Internal plaster	0.02	0.70	1400	840	

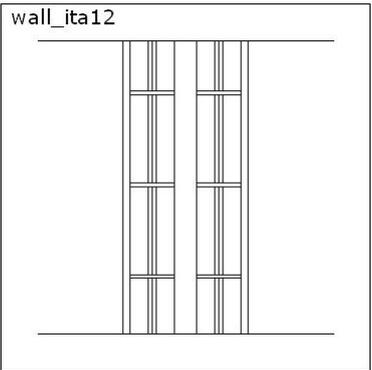
 wall_ita7	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.568, -1.496
	Concrete perforat. block	0.25	0.50	1400	900	
	Internal plaster	0.02	0.70	1400	840	

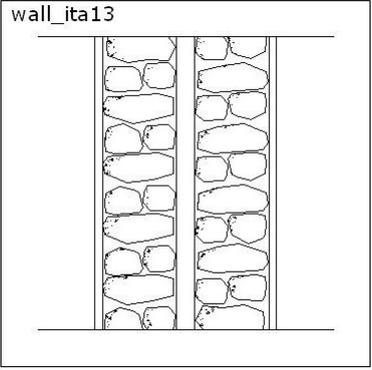
 wall_ita8	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.48, -1.522
	Tufa blocks	0.60	0.70	1600	1300	
	Internal plaster	0.02	0.70	1400	840	

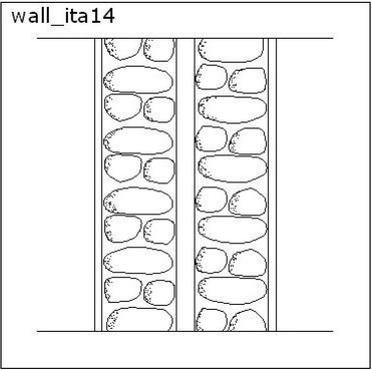
 wall_ita9	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.708, -1.433
	Perforated brick	0.25	0.30	800	840	
	Air gap	-	-	-	-	
	Perforated brick	0.08	0.30	800	840	
	Internal plaster	0.02	0.70	1400	840	

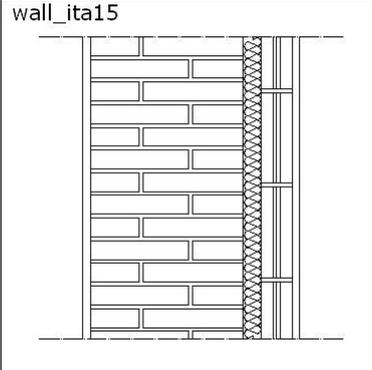
wall_ita10 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.690, -1.423
Perforated brick	0.25	0.30	800	840		
Air gap	-	-	-	-		
Perforated brick	0.12	0.30	800	840		
Internal plaster	0.02	0.70	1400	840		

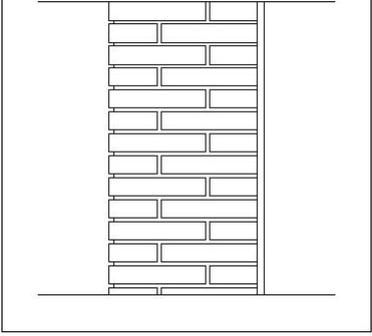
wall_ita11 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.712, -1.452
Perforated brick	0.12	0.30	800	840		
Air gap	-	-	-	-		
Perforated brick	0.08	0.30	800	840		
Internal plaster	0.02	0.70	1400	840		

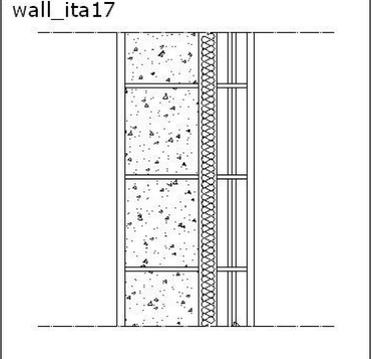
wall_ita12 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.690, -1.437
Perforated brick	0.12	0.30	800	840		
Air gap	-	-	-	-		
Perforated brick	0.12	0.30	800	840		
Internal plaster	0.02	0.70	1400	840		

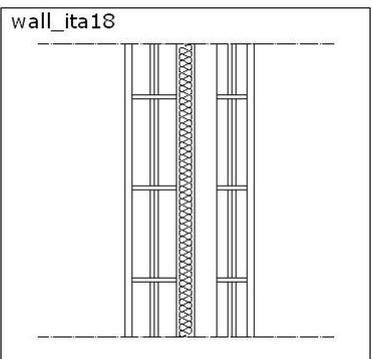
wall_ita13 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	<i>External plaster</i>	0.02	0.90	1800	840	0.336, -2.24
<i>Lava</i>	0.20	2	2300	840		
<i>Air gap</i>	-	-	-	-		
<i>Lava</i>	0.20	2	2300	840		
<i>Internal plaster</i>	0.02	0.70	1400	840		

wall_ita14 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	<i>External plaster</i>	0.02	0.90	1800	840	0.382, -2.046
<i>Limestone</i>	0.20	1.50	1900	920		
<i>Air gap</i>	-	-	-	-		
<i>Limestone</i>	0.20	1.50	1900	920		
<i>Internal plaster</i>	0.02	0.70	1400	840		

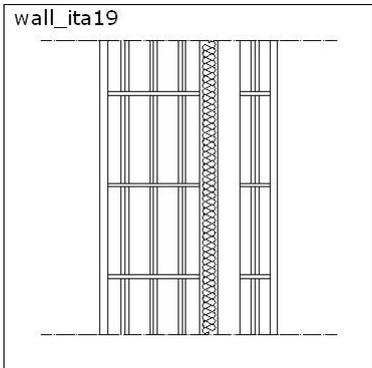
wall_ita15 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	<i>External plaster</i>	0.02	0.90	1800	840	0.718, -1.847
<i>Solid brick</i>	0.40	0.72	1800	840		
<i>Insulation</i>	0.05	0.034	35	1400		
<i>Perforated brick</i>	0.08	0.30	800	840		
<i>Internal plaster</i>	0.02	0.70	1400	840		

wall_ita16 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	<i>Solid brick</i>	0.40	0.72	1800	840	0.495, -1.692
<i>Internal plaster</i>	0.02	0.70	1400	840		

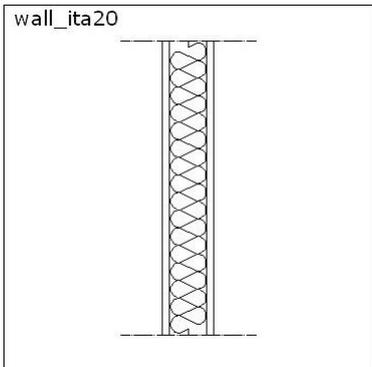
wall_ita17 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	<i>External plaster</i>	0.02	0.90	1800	840	0.718, -1.849
	<i>Concrete perforat.block</i>	0.20	0.50	1400	900	
	<i>Insulation</i>	0.05	0.034	35	1400	
	<i>Perforated brick</i>	0.08	0.30	800	840	
	<i>Internal plaster</i>	0.02	0.70	1400	840	

wall_ita18 	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	<i>External plaster</i>	0.02	0.90	1800	840	0.733, -1.690
	<i>Perforated brick</i>	0.12	0.30	800	840	
	<i>Insulation</i>	0.05	0.034	35	1400	
	<i>Air gap</i>	-	-	-	-	
	<i>Perforated brick</i>	0.08	0.30	800	840	
<i>Internal plaster</i>	0.02	0.70	1400	840		

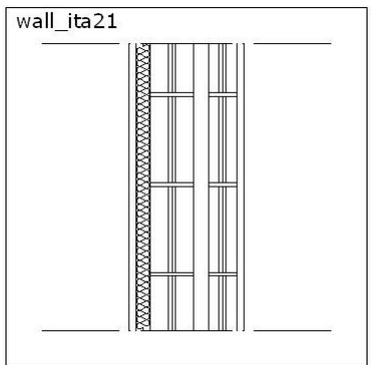
Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	$Z_1, \phi_{z1}(h)$	
					0.734,	-1.681
<i>External plaster</i>	0.02	0.90	1800	840	0.734, -1.681	
<i>Double brick</i>	0.25	0.50	2200	840		
<i>Insulation</i>	0.05	0.034	35	1400		
<i>Air gap</i>	-	-	-	-		
<i>Perforated brick</i>	0.08	0.30	800	840		
<i>Internal plaster</i>	0.02	0.70	1400	840		

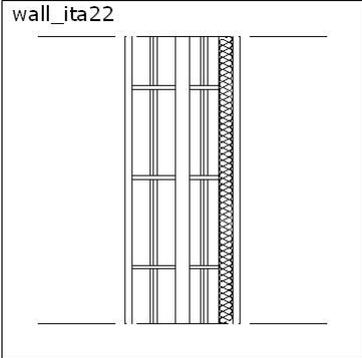


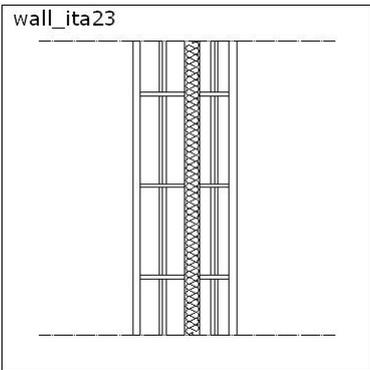
Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	$Z_1, \phi_{z1}(h)$	
					0.931,	-0.844
<i>External plaster</i>	0.02	0.90	1800	840	0.931, -0.844	
<i>Insulation</i>	0.10	0.034	35	1400		
<i>Internal plaster</i>	0.02	0.70	1400	840		

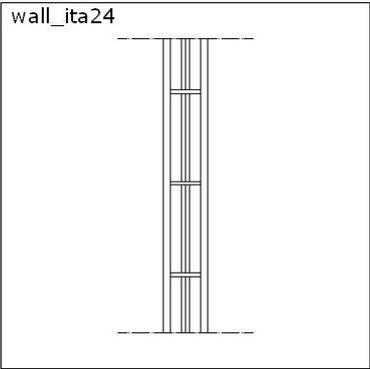


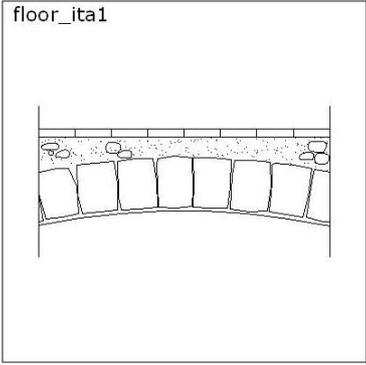
Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	$Z_1, \phi_{z1}(h)$	
					0.704,	-1.425
<i>External plaster</i>	0.02	0.90	1800	840	0.704, -1.425	
<i>Insulation</i>	0.05	0.034	35	1400		
<i>Perforated brick</i>	0.12	0.30	800	840		
<i>Air gap</i>	-	-	-	-		
<i>Perforated brick</i>	0.08	0.30	800	840		
<i>Internal plaster</i>	0.02	0.70	1400	840		

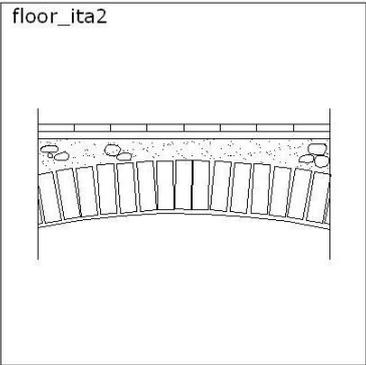


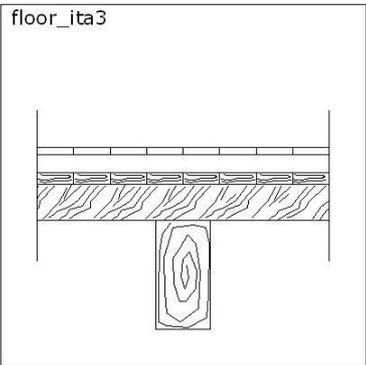
 wall_ita22	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.891, -0.817
	Perforated brick	0.12	0.30	800	840	
	Air gap	-	-	-	-	
	Perforated brick	0.08	0.30	800	840	
	Insulation	0.05	0.034	35	1400	
	Internal plaster	0.02	0.70	1400	840	

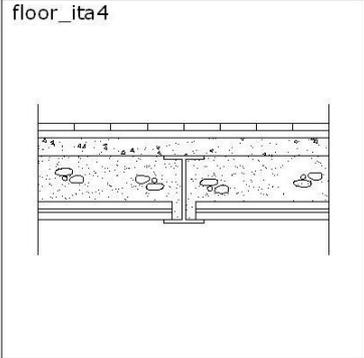
 wall_ita23	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	External plaster	0.02	0.90	1800	840	0.731, -1.654
	Perforated brick	0.12	0.30	800	840	
	Air gap	-	-	-	-	
	Perforated brick	0.08	0.30	800	840	
	Internal plaster	0.02	0.70	1400	840	

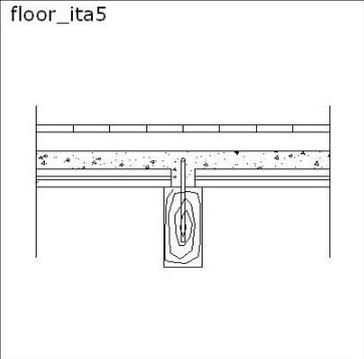
 wall_ita24	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	Internal plaster	0.02	0.70	1400	840	0.702, -1.179
	Perforated brick	0.08	0.30	800	840	
Internal plaster	0.02	0.70	1400	840		

 <p>floor_ita1</p>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	Tiled floor	0.01	1	2300	800	0.648, -1.077
	Screed	0.05	0.18	600	880	
	Weakly bound filling material	0.2	0.7	1500	1300	
	Lava blocks	0.2	2	2300	840	
	Internal plaster	0.02	0.70	1400	840	

 <p>floor_ita2</p>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	Tiled floor	0.01	1	2300	800	0.648, -1.074
	Screed	0.05	0.18	600	880	
	Weakly bound filling material	0.2	0.7	1500	1300	
	Bricks	0.2	0.72	1800	840	
	Internal plaster	0.02	0.70	1400	840	

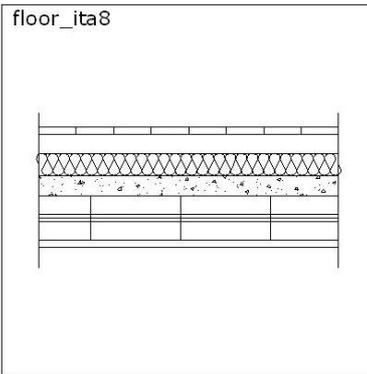
 <p>floor_ita3</p>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\phi_{z1}(h)$
	Tiled floor	0.01	1	2300	800	0.705, -1.292
	Screed	0.05	0.18	600	880	
Plank floor	0.03	0.15	550	2700		

 <p>floor_ita4</p>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	Tiled floor	0.01	1	2300	800	0.352, -2.223
	Reinforced concrete slab	0.05	1.28	2200	880	
	Weakly bound filling material	0.14	0.7	1500	1300	
	Flat hollow bricks	0.06	0.3	800	840	

 <p>floor_ita5</p>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	Tiled floor	0.01	1	2300	800	0.714, -0.596
	Screed	0.05	0.18	600	880	
	Reinforced concrete slab	0.05	1.28	2200	880	
	Flat hollow bricks	0.06	0.3	800	840	

 <p>floor_ita6</p>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	Tiled floor	0.01	1	2300	800	0.637, -1.181
	Screed	0.05	0.18	600	880	
	Reinf.concrete-hollow brick floor	0.20	0.556	1750	1250	
	Internal plaster	0.02	0.70	1400	840	

<div style="border: 1px solid black; padding: 5px;"> floor_ita7  </div>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	<i>Tiled floor</i>	0.01	1	2300	800	0.807, -1.582
	<i>Screed</i>	0.05	0.18	600	880	
	<i>Reinf.concrete– hollow brick floor</i>	0.20	0.556	1750	1250	
	<i>Insulation</i>	0.05	0.034	35	1400	
	<i>Internal plaster</i>	0.02	0.70	1400	840	

<div style="border: 1px solid black; padding: 5px;"> floor_ita8  </div>	Material	s (m)	λ (Wm/K)	ρ (kg/m ³)	c (Jkg/K)	Z ₁ , $\Phi_{z1}(h)$
	<i>Tiled floor</i>	0.01	1	2300	800	0.650, -1.085
	<i>Screed</i>	0.05	0.18	600	880	
	<i>Insulation</i>	0.05	0.034	35	1400	
	<i>Reinf.concrete– hollow brick floor</i>	0.20	0.556	1750	1250	
	<i>Internal plaster</i>	0.02	0.70	1400	840	

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